Edgar Filing: HICKS THOMAS O - Form 4

HICKS TH Form 4	OMAS O											
March 11, 2	2013											
	ЛЛ								OMB A	PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMMISSION	OMB Number:	3235-0287		
Check t if no lo	this box								Expires:	January 31, 2005		
subject to Section 16. Form 4 or					N BENEF VRITIES	ICIA	L OWN	ERSHIP OF	DF Estimated average burden hours per response 0			
Form 5 obligati may co <i>See</i> Inst 1(b).	ions Section 17	(a) of the l	Public I	Utility Ho		npan	y Act of 1	Act of 1934, 935 or Section				
(Print or Type	e Responses)											
1. Name and Address of Reporting Person <u>*</u> HICKS THOMAS O			Symbol						5. Relationship of Reporting Person(s) to Issuer			
		CARPENTER TECHNOLOGY CORP [CRS]					(Check all applicable)					
CARPENTER TECHNOLOGY			(Month/Dav/Year) —				_X_ Director 10% Owner Officer (give title Other (specify below) below)					
CORPORA	ATION, PO BOX	14002										
				"iled(Month/Day/Year) Ap				 Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person 				
READING	G, PA 19612						-	Form filed by Mo Person				
(City)	(State)	(Zip)	Ta	ble I - Non	-Derivative	Secur	ities Acqui	red, Disposed of,	or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr. 3, 4 and 5) ear) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price \$	Transaction(s) (Instr. 3 and 4)	(Instr. 4)			
Common Stock	03/07/2013			S	154,041	D		0	D			
Common Stock								836,992	I	See Explanation of Responses		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

> > **Signature of

Reporting Person

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
HICKS THOMAS O CARPENTER TECHNOLOGY CORPORATION PO BOX 14662 READING, PA 19612	N X						
Signatures							
James D. 03/11/2013							

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported is an average of the total transactions executed for the day, with the individual transactions ranging from a low of \$47 (1) per share to a high of \$47.35 per share. The Reporting Person will provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.

The Reporting Person may be deemed to beneficially own these shares of common stock held indirectly. The Reporting Person disclaims beneficial ownership of these shares of common stock except to the extent of his pecuniary interest therein, and the filing of this Form 4

(2)shall not be deemed an admission that the Reporting Person is the beneficial owner of the securities for purposes of Section 13 or Section 16 of the Securities Exchange Act of 1933, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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