Berry Jonathan Andrew Form 3 July 09, 2018

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *

A Berry Jonathan Andrew

(Last)

985 POINSETTIA AVE STE A

(Street)

(First)

(Middle)

Statement

(Month/Day/Year)

06/29/2018

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Flux Power Holdings, Inc. [FLUX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

Director 10% Owner _X__ Officer Other (give title below) (specify below)

Filing(Check Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

6. Individual or Joint/Group

VISTA. CAÂ 92081

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

Security

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Expiration Exercisable Date

Amount or

Direct (D) or Indirect (I)(Instr. 5)

Title Number of Shares

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Stock Option	(1)	06/29/2028 Com Stock	mon 455,106	\$ 1.44 (1)	D	Â
Stock Option	(2)	10/26/2027 Com Stock	mon 225,000	\$ 0.46	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Berry Jonathan Andrew 985 POINSETTIA AVE STE A VISTA Â CAÂ 92081	Â	Â	COO	Â	

Signatures

/s/ Jonathan
Andrew Berry

**Signature of Reporting Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to the stock option, Reporting Person has a right to purchase up to 455,106 shares of Issuer's common stock. The options vest quarterly over a two-year period following the grant and expire on June 29, 2028. The exercise price is the fair market value of Issuer's common stock, which is \$1.44 per share based on the Issuer's 30-day volume-weighted average price on June 29, 2018.
- Pursuant to the stock option, Reporting Person has a right to purchase up to 225,000 shares of Issuer's common stock, of which 84,375 options have vested as of June 30, 2018. The options vest quarterly over a three-year period, with 25% vested on October 26, 2017. The exercise price is \$0.46, which was the closing price on October 26, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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