Edgar Filing: Baumgartner Urs - Form 4

Baumgartner Form 4 January 18, 2										
FORM								OMB AF	PROVAL	
		RITIES AND EXCHANGE COMMIS shington, D.C. 20549				OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or				BENEF	[CIA	L OW	NERSHIP OF	Expires: Estimated a burden hour response	urs per	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type]	Responses)									
1. Name and A Baumgartne	ssuer Name and Ticker or Trading ool RDINGE INC [HDNG]				5. Relationship of Reporting Person(s) to Issuer					
<i></i>					(Check all applicable)					
			e of Earliest Transaction h/Day/Year) 2/2017				Director 10% Owner X Officer (give title Other (specify below) Vice President - Grinding			
			endment, Date Original			6. Individual or Joint/Group Filing(Check				
			10nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zip) Tal	ble I - Non-I	Derivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common			Code V	Amount	(D)	Price \$	(Instr. 3 and 4)			
Common Stock	12/12/2017		М	2,800	А	16.98 (1)	11,262.02 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Securities		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 16.98 (3)	12/12/2017		М	2,800	<u>(4)</u>	<u>(4)</u>	Common Stock	2,800	(1)

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Baumgartner Urs C/O HARDINGE INC. ONE HARDINGE DRIVE ELMIRA, NY 14903			Vice President - Grinding		
Signatures					

John R. Alexander, as Attorney-in-Fact, pursuant to a Power of Attorney dated July 1, 01/18/2018

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person is reporting the disposition of 2,800 restricted stock units awarded December 12, 2013, in exchange for an equal number of restricted shares of Hardinge Inc. common stock.
- (2) Includes 5.87 shares acquired during 2017 in exempt transactions under the Hardinge Inc. Dividend Reinvestment Plan.
- (3) Each restricted stock unit represents a right to receive one (1) restricted share of Hardinge Inc. common stock. The conversion price is equal to the closing share price of Hardinge Inc. common stock on the transaction date.
- (4) The restricted stock units vest and become payable in restricted shares of Hardinge Inc. common stock on the four-year anniversary of the date such units are granted to the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date