Van Valkenburg Richard Form 3 September 07, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PERCEPTRON INC/MI [PRCP] A Van Valkenburg Richard (Month/Day/Year) 08/30/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 47827 HALYARD DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person PLYMOUTH. MIÂ 48170 (give title below) (specify below) Form filed by More than One V.P Global Sales & Marketing Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 1,763 Common Stock 20,000 (1) I By Spouse Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

#### Edgar Filing: Van Valkenburg Richard - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	09/04/2012(2)	09/04/2022	Common Stock	375	\$ 5.7	D	Â
Employee Stock Option (right to buy)	09/03/2013(3)	09/03/2023	Common Stock	1,000	\$ 10.55	D	Â
Employee Stock Option (right to buy)	01/03/2017(4)	01/03/2027	Common Stock	20,000	\$ 6.72	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other
Van Valkenburg Richard 47827 HALYARD DRIVE PLYMOUTH Â MIÂ 48170	Â	Â	V.P Global Sales & Marketing	Â

## **Signatures**

/s/ Richard Van
Valkenburg

\*\*Signature of Reporting
Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of these securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) The option became fully exercisable on September 4, 2016
- (3) The option became exercisable as to 250 on each of September 3, 2014, September 3, 2015 and September 3, 2016 and the remaining 250 shares became exercisable on September 3, 2017.
- (4) The option becomes exercisable in three equal annual installments beginning January 3,2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2