

PROVIDENT FINANCIAL SERVICES INC

Form 4

February 04, 2015

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
MARTIN CHRISTOPHER P

2. Issuer Name **and** Ticker or Trading
Symbol
PROVIDENT FINANCIAL
SERVICES INC [PFS]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

239 WASHINGTON STREET

(Street)

JERSEY CITY, NJ 07302

(City) (State) (Zip)

3. Date of Earliest Transaction
(Month/Day/Year)
02/03/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman, President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	02/03/2015		F		676	D	\$ 18.16
Common Stock					130,814 ⁽¹⁾	I	By 401(k) Plan
Common Stock					17,785	I	By Deferred Fee Plan
Common Stock					10,542 ⁽¹⁾	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pri Deriv Secur (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	\$ 19.1	02/04/2015		A	0	03/04/2014	03/04/2024	Common Stock	0 ⁽²⁾	\$
Stock Options	\$ 16.38					02/19/2015	02/19/2024	Common Stock	35,000 ⁽³⁾	
Stock Options	\$ 10.34					03/04/2013	03/04/2023	Common Stock	64,433 ⁽⁴⁾	
Stock Options	\$ 10.4					02/03/2012	02/03/2019	Common Stock	3,718 ⁽⁴⁾	
Stock Options	\$ 10.4					02/03/2010	02/03/2019	Common Stock	16,600 ⁽⁴⁾	
Stock Options	\$ 12.54					01/29/2009	01/29/2018	Common Stock	13,020 ⁽⁴⁾	
Stock Options	\$ 17.94					01/29/2008	01/29/2017	Common Stock	27,267 ⁽⁴⁾	
Stock Options	\$ 18.48					03/23/2007	03/23/2016	Common Stock	40,000 ⁽⁴⁾	
Stock Options	\$ 14.5					02/24/2012	02/24/2021	Common Stock	50,000 ⁽⁵⁾	
Stock Options	\$ 15.23					02/19/2014	02/19/2023	Common Stock	35,000 ⁽⁶⁾	
Stock Options	\$ 14.88					02/03/2013	02/03/2022	Common Stock	25,000 ⁽⁷⁾	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MARTIN CHRISTOPHER P 239 WASHINGTON STREET JERSEY CITY, NJ 07302	X		Chairman, President and CEO	

Signatures

/s/ John F. Kuntz, Pursuant to Power of Attorney

02/04/2015

____Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.
- (2) Performance stock options granted on February 3, 2011 which vested on March 4, 2014 based on meeting certain performance criteria.
- (3) Stock options vest at a rate of 20% per year over a period ending February 19, 2019.
- (4) Stock options have fully vested.
- (5) Stock options vest at a rate of 20% per year over a period ending February 24, 2016.
- (6) Stock options vest at a rate of 20% per year over a period ending February 19, 2018.
- (7) Stock options vest at a rate of 20% per year over a period ending February 3, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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