NetApp, Inc. Form 4 September 14, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading WALL ROBERT T Issuer Symbol NetApp, Inc. [NTAP] (Check all applicable) (First) (Middle) 3. Date of Earliest Transaction (Last) (Month/Day/Year) X\_ Director 10% Owner Officer (give title Other (specify 495 EAST JAVA DRIVE 12/17/2014 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SUNNYVALE, CA 94089 Person

| (City)                               | (State) (Z                           | Zip) Table             | I - Non-De         | erivative S | Securi  | ties Ac     | quired, Disposed   | of, or Beneficia                       | lly Owned                        |
|--------------------------------------|--------------------------------------|------------------------|--------------------|-------------|---|-------------|--|--|----------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution Date, if any |                    |             | 4. Securities onAcquired (A) or Disposed of (D) |             | 5. Amount of<br>Securities<br>Beneficially                           | 6. Ownership<br>Form: Direct<br>(D) or | 7. Nature of Indirect Beneficial |
|                                      |                                      | (Month/Day/Year)       | (Instr. 8)  Code V | (Instr. 3,  | (A) or (D)                                      | 5)<br>Price | Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | Indirect (I)<br>(Instr. 4)             | Ownership<br>(Instr. 4)          |
| Common<br>Stock                      | 12/17/2014                           |                        | G                  | 2,561       | D   | \$ 0        | 45,154 <u>(1)</u>  | D                                      |                                  |
| Common<br>Stock                      | 09/10/2015                           |                        | M                  | 5,922       | A   | \$ 0<br>(2) | 51,076   | D                                      |                                  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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### Edgar Filing: NetApp, Inc. - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|---|-------|--|--------------------|---|--|
|   |   |   |   | Code V                                | (A)   | (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Restricted<br>Stock Unit                            | \$ 0  | 09/10/2015                              |   | M                                     |   | 5,922 | <u>(3)</u>   | (3)                | Common<br>Stock   | 5,922                                  |
| Restricted<br>Stock Unit                            | \$ 0 (2)  | 09/11/2015                              |   | A                                     | 7,916   |       | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 7,916                                  |

# **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |               |  |  |  |  |
|---|---------------|-----------|---------------|--|--|--|--|
| Transfer and the same                                       | Director      | 10% Owner | Officer Other |  |  |  |  |
| WALL ROBERT T<br>495 EAST JAVA DRIVE<br>SUNNYVALE, CA 94089 | X             |           |               |  |  |  |  |

# **Signatures**

By: Roberta S. Cohen Attorney-in-Fact For: Robert T. 09/14/2015 Wall

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,856 shares which due to an administrative error were incorrectly shown as received in September 2014. On September 13,
- (1) 2013, Mr. Wall elected to defer the payout of such shares until within 30 days of the earlier of the date:(a) his service on the Board ceases for any reason or (b) on which a Change of Control occurs.
- (2) Restricted stock units convert into common stock on a one-for-one basis.
- On September 5, 2014, the reporting person was granted 5,922 restricted stock units which vest on the day immediately preceding the date of the next Annual Stockholders Meeting of the Company following the Grant Date, subject to the subject to the Participant's continuous service on the Board through such date.
- On September 11, 2015, the reporting person was granted 7,916 restricted stock units which vest on the day immediately preceding the (4) date of the next Annual Stockholders Meeting of the Company following the Grant Date, subject to the Participant's continuous service on the Board through such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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