NetApp, Inc. Form 4 June 03, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Washington, D.C. 20549

3235-0287 Number: January 31,

**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

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Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Salmon Robert E			2. Issuer Name <b>and</b> Ticker or Trading Symbol NetApp, Inc. [NTAP]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Cheek an applicable)			
			(Month/Day/Year)	Director 10% Owner			
495 EAST JAVA DRIVE		Ε	04/24/2015	X Officer (give title Other (specify below)  President, Head of Go-to-Mkt			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person			
SUNNYVALE, CA 94089				Form filed by More than One Reporting			

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A) iomr Disposed of (D) (Instr. 3, 4 and 5)  (A) or			5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	04/24/2015		J	22,211	D	\$ 0	20,273	D			
Common Stock	04/24/2015		J	22,211	A	\$ 0	32,303	I	by Trust1		
Common Stock	05/31/2015		<u>J(2)</u>	667	A	\$ 28.39	40,305	I	by Trust1		
Common Stock	06/01/2015		M	11,250	A	(3)	51,555	I	by Trust1		
Common Stock	06/01/2015		F	4,227	D	\$ 33.155	47,328	I	by Trust1		

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Common Stock	06/01/2015	M	5,025	A	<u>(3)</u>	52,353	I	by Trust1
Common Stock	06/01/2015	F	1,888	D	\$ 33.155	50,465	I	by Trust1
Common Stock						240	I	by Trust2
Common Stock						240	I	by Trust3

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0	06/01/2015		M		11,250	<u>(6)</u>	<u>(6)</u>	Common Stock	11,250
Restricted Stock Unit	\$ 0	06/01/2015		M		5,025	<u>(7)</u>	<u>(7)</u>	Common Stock	5,025

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Salmon Robert E 495 EAST JAVA DRIVE SUNNYVALE, CA 94089

President, Head of Go-to-Mkt

**Signatures** 

Robert E Salmon 06/03/2015

Date

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\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Previously reported as direct holdings.
- (2) Shares acquired under the NetApp 1999 Employee Stock Purchase Plan on May 31, 2015.
- (3) Restricted stock units convert into common stock on a one-for-one basis.
- (4) Shares held in trust by Patricia Mertens-Salmon, Custodian for Michael T. Salmon UTMA CA.
- (5) Shares held in trust by Patricia Mertens-Salmon, Custodian for Gregory Salmon UTMA CA.
- (6) On June 1, 2012, the reporting person was granted 45,000 restricted stock units, vesting in four equal annual installments beginning on the first anniversary of the grant date, subject to continued service on each applicable vesting date.
- On June 1, 2011, the reporting person was granted 20,100 restricted stock units, vesting in four equal annual installments beginning on the first anniversary of the grant date, subject to continued service on each applicable vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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