Edgar Filing: NetApp, Inc. - Form 4

NetApp, Inc. Form 4 October 17, 2013OMBSubject to Section 16. Form 5 obligations may continue.Subject to Section 17(a) of the Public Utility Holding Company Act of 1935, 30(h) of the Investment Company Act of 1940, 1(b).OMB > 3235-0287NetApp, Inc. OMB Washington, D.C. 20549MB Mumber: 20053235-0287Subject to Section 16. Obligations may continue. Sec Instruction 1(b).StateMent of the Securities Exchange Act of 1934, 30(h) of the Investment Company Act of 1935 or SecuritiesStateMent of 1934, 1000										
(Print or Type F	Responses)									
1. Name and A Kurian Geor	ddress of Reporting Person	Symbol	2. Issuer Name and Ticker or Trading Symbol NetApp, Inc. [NTAP]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle	3. Date of Earliest Tr	3. Date of Earliest Transaction (Check				x an applicable)			
495 EAST J	AVA DRIVE	(Month/Day/Year) 10/15/2013	-				Director 10% Owner X Officer (give title Other (specify below) EVP, Product Operations			
	(Street)	Filed(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
SUNNYVA	LE, CA 94089					Person	viore than One Ke	porung		
(City)	(State) (Zip)	Table I - Non-I	Derivative	Secur	ities Aco	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A (Month/Day/Year) Exc any (Mo	cution Date, if Transacti Code nth/Day/Year) (Instr. 8)	on(A) or D (D)	ispose 4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	10/15/2013	А	5,000	А	\$0	5,000	D			
Common Stock	10/15/2013	F	1,879	D	\$ 40.7	3,121	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDerivative Expiration		6. Date Exercisab Expiration Date (Month/Day/Year		7. Title an Underlyin; (Instr. 3 ar
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 40.7	10/15/2013		А	36,000		09/20/2014 <u>(1)</u>	10/14/2020	Commo Stock
Restricted Stock Unit	\$ 0	10/15/2013		А	12,000		(2)	10/15/2020	Commo Stock
Restricted Stock Unit	\$ 0	10/15/2013		М		5,000	(2)	10/15/2020	Commo Stock

Reporting Owners

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
Kurian George 495 EAST JAVA DRIVE SUNNYVALE, CA 94089			EVP, Product Operations		
Signatures					

By: Michael Nolan, Attorney-in-Fact For: George 10/17/2013
<u>**Signature of Reporting Person</u>
Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option vests with respect to 25% of the option shares on the first anniversary of the Optionee's date of promotion and the balance in a series of equal monthly installments over the next 36 months of service thereafter.
- (2) The restricted stock unit shares vest as to 25% of the shares on the one-year anniversary of the grant date, and 25% of the shares on each annual anniversary thereafter for the next 3 years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.