Edgar Filing: FULL HOUSE RESORTS INC - Form 3

FULL HOUSE RESORTS INC Form 3 December 08, 2014 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

(Print or Type Responses)

1. Name and Address of Reporting

Person <u>*</u> LANDAU ELLIS			Statement (Month/Day/Year)	FULL HOUSE RESORTS INC [FLL]				
(Last)	(First)	(Middle)	11/28/2014	4. Relationsh Person(s) to l	ip of Reporting Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)		
C/O FULL HOUSE RESORTS, INC.,, 4670 SOUTH FORT APACHE ROAD, SUITE 190 (Street) LAS VEGAS, NV 89147				(Check all applicable) <u>X</u> Director 10% Owner Officer Other (give title below) (specify below)		Owner f 6. Individual or Joint/Group		
(City)	(State)	(Zip)	Table I -	Non-Deriva	tive Securiti	ies Beneficially Owned		
1.Title of Secur (Instr. 4)	ity		2. Amount Beneficiall (Instr. 4)	of Securities y Owned	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Reminder: Repo owned directly		ate line for e	ach class of securities benefi	icially S	SEC 1473 (7-02	2)		
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

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Shares or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address		Relationships				
		Director	10% Owner	Officer	Other	
LANDAU ELLIS C/O FULL HOUSE RESORTS, INC., 4670 SOUTH FORT APACHE ROAD, SUITE 190 LAS VEGAS, NV 89147			Â	Â	Â	
Signatures						
/s/ Ellis Landau	12/08/2014					
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.