LEWIS ROBERT B

Form 4 March 05, 2019

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

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response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

Common

Common

Stock

Stock

03/01/2019

03/01/2019

(Print or Type Responses)

1. Name and A LEWIS RO	g Person *	2. Issues	r Name and	l Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
			SILGA	N HOLD	INGS INC [SLGN]	(Check all applicable)			
(Last) (First) (Middle)			3. Date of	f Earliest T	ransaction				
			(Month/D	ay/Year)		Director	10%	Owner	
SILGAN H	, 4	03/01/2	019		X Officer (give title Other (specify below)				
LANDMAI	UITE 400								
					Executive VP and CFO				
		4. If Ame	ndment, Da	ate Original	6. Individual or Joint/Group Filing(Check				
		Filed(Mor	nth/Day/Year	r)	Applicable Line)				
			·		_X_ Form filed by One Reporting Person				
STAMFOR					Form filed by More than One Reporting				
STIMIT OF	2, 21 00)01					Person			
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative Securities Acc	quired, Disposed o	f, or Beneficial	ly Owned	
1.Title of	2. Transaction Dat	a 24 Daar	mad	3.	1 Securities Acquired	5. Amount of	6.	7. Nature of	
	(Month/Day/Year)		ned n Date, if		4. Securities Acquired	Securities	0. Ownership	Indirect	
Security (Instr. 3)	(Monuni Day/ Tear)		II Date, II	Code	on(A) or Disposed of (D) (Instr. 3, 4 and 5)	Beneficially	Form: Direct		
(Instr. 3)		any (Month/I	Day/Year)	(Instr. 8)	(IIISII. 5, 4 aliu 5)	Owned	(D) or	Ownership	
		(MOHIII/1	Jay/ 1 cai)	(msu. o)		Following	Indirect (I)	(Instr. 4)	
						Reported	(Instr. 4)	(111501. 4)	
					(A)	Transaction(s)	(111511. 4)		

Code V

F

A

or

(D)

Price

28.46

<u>(1)</u>

Amount

97,346 D

24,800 A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Transaction(s)

(Instr. 3 and 4)

D

D

245,717

270,517 (2)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orNumber	Expiration D	ate	Amount	t of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								^	mount		
									mount		
						Date Expiration	Of Title Number				
						Exercisable	Date	Title Number of			
				C + V	(A) (D)						
				Code V	(A) (D)			S	hares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

LEWIS ROBERT B SILGAN HOLDINGS INC. 4 LANDMARK SQUARE, SUITE 400 STAMFORD, CT 06901

Executive VP and CFO

## **Signatures**

/s/ Frank W. Hogan, III, Attorney-in-fact for Robert B. Lewis

03/05/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These securities are restricted stock units that were granted on March 1, 2019 under the Silgan Holdings Inc. Amended and Restated 2004 (1) Stock Incentive Plan. These restricted stock units vest ratably over a 5 year period beginning on March 1, 2020 and will be settled in shares of Common Stock on a 1-for-1 basis upon vesting.
- This amount includes 52,880 restricted stock units that are not yet vested that have been granted under the Silgan Holdings Inc. Amended (2) and Restated 2004 Stock Incentive Plan. Upon vesting, these restricted stock units will be settled in shares of Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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