#### ALLOTT ANTHONY J

Form 4

February 19, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Washington, D.C. 20549

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and ALLOTT A                                      | _                   | 2. Issue<br>Symbol  | er Name <b>ar</b>                       | nd Ticker or Trading | 5. Relationship of Reporting Person(s) to Issuer        |  |   |           |           |  |
|---|---------------------|---------------------|---|----------------------|---|--|---|-----------|-----------|--|
| (I ()   | (F' 1)              | SILGAN HOLDINGS INC |   |                      | (Check all applicable)                                  |  |   |           |           |  |
| (Last)  | (First) (           |                     |   |                      | Fransaction   | ** 5.  |   | 100       | _         |  |
| SILGAN HOLDINGS INC., 4<br>LANDMARK SQUARE - SUITE<br>400 |                     |                     | Month/1<br>02/14/2                      | Day/Year)<br>2019    |   | X Director 10% Owner X Officer (give title Other (specify below) |   |           |           |  |
| (Street)  |                     |                     | 4. If Amendment, Date Original          |                      |   | 6. Individual or Joint/Group Filing(Check                        |   |           |           |  |
|   | F                   | Filed(Mo            | onth/Day/Ye                             | ar)                  | Applicable Line) _X_ Form filed by One Reporting Person |  |   |           |           |  |
| STAMFORD, CT 06901  |                     |                     |   |                      |   | Form filed by More than One Reporting<br>Person                  |   |           |           |  |
| (City)  | (State)             | (Zip)               | Table I - Non-Derivative Securities Acc |                      |   | equired, Disposed of, or Beneficially Owned                      |   |           |           |  |
| 1.Title of  | 2. Transaction Date |                     |   | 3.                   | 4. Securities Acquired (A                               | <i>*</i>   |   | 6.        | 7. Nature |  |
| Security  | (Month/Day/Year)    | Execution Da        | oate, if                                | Transacti            | omr Disposed of (D)                                     | Securities   | 3 | Ownership | Indirect  |  |

| (City)                               | (State)                              | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |         |                      |  |  |   |  |
|--------------------------------------|--------------------------------------|--|--|--|---------|----------------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                      | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>ord Dispos<br>(Instr. 3, 4 | ed of ( | `                    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock                      | 02/14/2019                           |  | S                                      | 25,320                                   | D       | \$<br>28.3275<br>(1) | 972,075  | D  |   |  |
| Common<br>Stock                      | 02/15/2019                           |  | S                                      | 15,230                                   | D       | \$<br>28.5694<br>(1) | 956,845  | D  |   |  |
| Common<br>Stock                      | 02/19/2019                           |  | S                                      | 22,940                                   | D       | \$<br>28.6206<br>(1) | 933,905 (2)  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc   | cisable and | 7. Tit    | le and     | 8. Price of | 9. |
|-------------|-------------|---------------------|--------------------|------------|------------|-----------------|-------------|-----------|------------|-------------|----|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration Date |             | Amount of |            | Derivative  | D  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/     | Year)       | Unde      | rlying     | Security    | Se |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e               |             | Secur     | rities     | (Instr. 5)  | В  |
|             | Derivative  |                     |                    |            | Securities |                 |             | (Instr    | . 3 and 4) |             | O  |
|             | Security    |                     |                    |            | Acquired   |                 |             |           |            |             | Fo |
|             | •           |                     |                    |            | (A) or     |                 |             |           |            |             | R  |
|             |             |                     |                    |            | Disposed   |                 |             |           |            |             | Tı |
|             |             |                     |                    |            | of (D)     |                 |             |           |            |             | (I |
|             |             |                     |                    |            | (Instr. 3, |                 |             |           |            |             |    |
|             |             |                     |                    |            | 4, and 5)  |                 |             |           |            |             |    |
|             |             |                     |                    |            |            |                 |             |           |            |             |    |
|             |             |                     |                    |            |            |                 |             |           | Amount     |             |    |
|             |             |                     |                    |            |            | Date            | Expiration  | m: 1      | or         |             |    |
|             |             |                     |                    |            |            | Exercisable     | Date        | Title     |            |             |    |
|             |             |                     |                    | G 1 17     | (A) (B)    |                 |             |           | of         |             |    |
|             |             |                     |                    | Code V     | (A) (D)    |                 |             |           | Shares     |             |    |

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

ALLOTT ANTHONY J SILGAN HOLDINGS INC. 4 LANDMARK SQUARE - SUITE 400 STAMFORD, CT 06901

X

President and CEO

### **Signatures**

/s/ Anthony J. 02/19/2019 Allott

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The range of sales prices for 02/14/2019 was \$28.26 \$28.44. The range of sales prices for 02/15/2019 was \$28.50 \$28.68. The range of (1) sales prices for 02/19/2019 was \$28.56 - \$28.63. The reporting person undertakes to provide, upon request of the SEC staff, the Issuer or any security holder of the Issuer, full information regarding the number of shares sold at each separate price.
  - This amount includes 548,940 restricted stock units that are not yet vested that have been granted under the Silgan Holdings Inc.
- (2) Amended and Restated 2004 Stock Incentive Plan. Upon vesting, these restricted stock units will be settled in shares of Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

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