

LANDY EUGENE W
Form 4
December 18, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LANDY EUGENE W

(Last) (First) (Middle)

3499 RT. 9 NORTH, SUITE 3-C

(Street)

FREEHOLD, NJ 07728

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
UMH PROPERTIES, INC. [UMH]

3. Date of Earliest Transaction
(Month/Day/Year)
12/14/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| UMH Properties, Inc. | | | | (A) or (D) | 622,837.51 (1) | D | Eugene W. and Gloria Landy Family Foundation |
| UMH Properties, Inc. | | | | | 97,880 | I | Juniper Plaza Associates |
| UMH Properties, Inc. | | | | | 31,751.66 | I | |

Edgar Filing: LANDY EUGENE W - Form 4

| | | | | | | | | | |
|----------------------|------------|--|------------------|-------|---|-------------|------------|------------------------------------|--|
| UMH Properties, Inc. | | | | | | 23,670.01 | I | Windsor Industrial Park Associates | |
| UMH Properties, Inc. | | | | | | 172,607.725 | I | Landy Investments | |
| UMH Properties, Inc. | | | | | | 99,872.121 | I | Spouse | |
| UMH Properties, Inc. | 12/14/2018 | | S ⁽²⁾ | 4,000 | D | \$ 12.85 | 49,561.288 | I | Landy & Landy Employees' Pension Plan |
| UMH Properties, Inc. | 12/14/2018 | | S ⁽²⁾ | 4,000 | D | \$ 12.85 | 58,912.51 | I | Landy & Landy Employees' Profit Sharing Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| UMH Properties, Inc. | \$ 13.09 | | | | | 04/02/2019 | 04/02/2028 | UMH Properties, Inc. | 100,000 |
| UMH Properties, Inc. | \$ 15.04 | | | | | 04/04/2018 | 04/04/2027 | UMH Properties, Inc. | 100,000 |

| | | | | | |
|----------------------|----------|------------|------------|----------------------|---------|
| UMH Properties, Inc. | \$ 9.77 | 04/05/2017 | 04/05/2024 | UMH Properties, Inc. | 100,000 |
| UMH Properties, Inc. | \$ 9.82 | 06/24/2016 | 06/24/2023 | UMH Properties, Inc. | 100,000 |
| UMH Common Stock | \$ 9.85 | 06/11/2015 | 06/11/2022 | UMH Common Stock | 100,000 |
| UMH Common Stock | \$ 10.08 | 06/26/2014 | 06/26/2021 | UMH Common Stock | 100,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LANDY EUGENE W 3499 RT. 9 NORTH SUITE 3-C FREEHOLD, NJ 07728 | X | | Chairman of the Board | |

Signatures

Eugene W. Landy 12/18/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 214.634 shares acquired on 12/17/18 under the UMH Dividend Reinvestment and Stock Purchase Plan.
 - (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adapted by the reporting person on June 27, 2018.
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.