

Thomas Peter T
Form 4
February 22, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Thomas Peter T

(Last) (First) (Middle)

C/O FERRO CORPORATION, 6060
PARKLAND BOULEVARD

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
FERRO CORP [FOE]

3. Date of Earliest Transaction
(Month/Day/Year)
02/20/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)

Chairman, President and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	02/20/2019		F		11,756	D	\$ 17.61	482,364	D
Common Stock	02/20/2019		M		46,100	A	\$ 0 <u>(1)</u>	528,464	D
Common Stock								1,170.7039	I
									Investment Savings Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not
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SEC 1474
(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Performance Share Unit	\$ 0	02/20/2019		A	96,600	(2) 12/31/2021	Common Stock
Restricted Share Unit	\$ 0	02/20/2019		M	46,100	02/20/2017(3) 02/20/2017	Common Stock
Restricted Stock Unit	\$ 0	02/20/2019		A	38,600	02/20/2022(3) 02/20/2022	Common Stock
Stock Options (Right to Buy)	\$ 17.89	02/20/2019		A	148,200	(4) 02/20/2029	Common Stock
Performance Share Unit	\$ 0					(2) 12/31/2018	Common Stock
Performance Share Unit	\$ 0					(2) 12/31/2019	Common Stock
Performance Share Unit	\$ 0					(2) 12/31/2020	Common Stock
Phantom Shares	\$ 0 (5)					(5) (5)	Common Stock
Restricted Share Unit	\$ 0					02/18/2018(3) 02/18/2018	Common Stock
Restricted Share Unit	\$ 0					02/17/2019(3) 02/17/2019	Common Stock
Restricted Share Unit	\$ 0					02/15/2020(3) 02/15/2020	Common Stock
Restricted Share Unit	\$ 0					02/21/2021(3) 02/21/2021	Common Stock
Stock Options (Right to Buy)	\$ 22.01					(6) 02/21/2028	Common Stock
	\$ 15.16					02/24/2012 02/24/2021	

Stock Options (Right to Buy)					Common Stock
Stock Options (Right to Buy)	\$ 14.27		02/15/2018	02/15/2027	Common Stock
Stock Options (Right to Buy)	\$ 13.09		02/20/2015	02/20/2024	Common Stock
Stock Options (Right to Buy)	\$ 12.33		02/18/2016	02/18/2025	Common Stock
Stock Options (Right to Buy)	\$ 9.6		02/17/2017	02/17/2026	Common Stock
Stock Options (Right to Buy)	\$ 8.25		02/25/2011	02/25/2020	Common Stock
Stock Options (Right to Buy)	\$ 7.02		04/24/2014	04/24/2023	Common Stock
Stock Options (Right to Buy)	\$ 6.84		02/23/2013	02/23/2022	Common Stock
Stock Options (Right to Buy)	\$ 5.29		02/21/2014	02/21/2023	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Thomas Peter T C/O FERRO CORPORATION 6060 PARKLAND BOULEVARD MAYFIELD HEIGHTS, OH 44124			Chairman, President and CEO	

Signatures

/s/ Benjamin Schlater, Group Vice President and Chief Financial Officer, by Power of Attorney

02/22/2019

____Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted share units convert into Common Stock on a one-to-one basis.
Performance Share Units granted as a performance award, vesting based upon degree of achievement of performance goal. At the end of
- (2) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
- (3) The date reflected above as the Date Exercisable is the scheduled vesting date of the Restricted Share Units. Once vested, settlement of the Restricted Share Units and delivery of common shares is subject to an additional two-year holding period.
- (4) 33+% per year for 3 years beginning on 20-Feb-2020
- (5) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
- (6) 33+% per year for 3 years beginning on 21-Feb-2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.