#### **KOCH C JAMES**

Form 4

September 14, 2018

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 

**OMB APPROVAL** 

Number:

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Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

BOSTON, MA 02210

1. Name and Address of Reporting Person ** KOCH C JAMES			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			BOSTON BEER CO INC [SAM]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	** *** ***			
			(Month/Day/Year)	Director 10% Owner			
C/O THE BOSTON BEER			09/14/2018	Officer (give title Other (specify			
COMPANY	, ONE DES	IGN		below) below)  Chairman			
CENTER PI				Chairman			

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting

5001011, 1111 02210						Person			
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Be							ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)			ispose 4 and	cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Class A Common	09/14/2018		S(1)	5,878	D	\$ 313.35 (2)	57,494 (3)	D	
Class A Common	09/14/2018		S(1)	2,922	D	\$ 314.3 (4)	54,572 (3)	D	
Class A Common	09/14/2018		S(1)	1,200	D	\$ 315	53,372 (3)	D	
Class A Common							23,000	I	By Foundation managed by

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			Reporting Person
Class A Common	44,248	I	By LLC managed by spouse
Class A Common	3,656	I	By spouse as custodian for children under UGTMA
Class A Common	2,532	I	By spouse in trust for children
Class A Common	5,000	I	By Trust as Trustee
Class A Common	23,486	I	Custodian for children under UGTMA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Relationships

Reporting Owner Name / Address

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Director 10% Owner Officer Other

KOCH C JAMES C/O THE BOSTON BEER COMPANY ONE DESIGN CENTER PLACE, SUITE 850 BOSTON, MA 02210

Chairman

## **Signatures**

Michael G. Andrews under POA for the benefit of Koch C. James

09/14/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported in this Form 4 were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 13, 2018.
- The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 5,878 shares (2) is from \$312.95 to \$313.90. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.
- (3) The shares reported include 654 shares of restricted stock subject to vesting conditions.
  - The price shown is the weighted average sale price for the transactions reported on this line. The range of sale prices for the 2,922 shares
- (4) is from \$313.95 to \$314.80. The Reporting Person will provide full information regarding the number of shares sold at each separate price upon request of the SEC, the Registrant, or a shareholder of the Registrant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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