MORRISON SCOTT C

Form 4 July 17, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

OMB APPROVAL

Form 4 or Form 5 obligations

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Estimated average burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * MORRISON SCOTT C			2. Issuer Name and Ticker or Trading Symbol BALL Corp [BLL]	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)			
			(Month/Day/Year)	Director 10% Owner			
BALL CORPO PEAK DR.	ORATION,	ATION, 10 LONGS	07/16/2018	$\begin{tabular}{ll} \hline \underline{} Officer (give title & \underline{} Other (specify below) \\ SR. \ V.P. \ and \ C.F.O. \\ \hline \end{tabular}$			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
BROOMFIELD, CO 80021-2510			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tabl	le I - Non-D	Derivative	Secui	rities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/16/2018		Code V M(1)	Amount 5,267	(D)	Price \$ 10.02	413,522.882	D	
Common Stock	07/16/2018		F(2)	3,107	D	\$ 37.41	410,415.882	D	
Common Stock							8,269.279	I	401(k) Plan (3)
Common Stock							100	I	By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: MORRISON SCOTT C - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransaction Derivative ode Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shares
Stock Appreciation	\$ 10.02	07/16/2018		M(4)		5,267	01/28/2010	01/28/2019	Common Stock	5,26

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MORRISON SCOTT C BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510

SR. V.P. and C.F.O.

Signatures

Rights (sars)

/s/ Janice L. Rodriguez, attorney-in-fact for Mr. Morrison

07/17/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Common stock acquired upon the exercise of Stock Appreciation Rights (SARS).
- (2) Shares withheld to pay for the cost of the SARS and for the payment of the tax obligation on the exercise of the SARS.
- (3) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (4) Exercise of Stock Appreciation Rights (SARS) in accordance with reporting person's November 7, 2017 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: MORRISON SCOTT C - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.