#### ARRAY BIOPHARMA INC

Form 4 April 04, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

MOORE JOHN R

(Last) (First) (Middle)

C/O ARRAY BIOPHARMA

INC., 3200 WALNUT STREET

(Street)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

ARRAY BIOPHARMA INC [ARRY]

3. Date of Earliest Transaction (Month/Day/Year)

04/01/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

10% Owner Director Officer (give title Other (specify below)

VP, General Counsel

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BOULDER, CO 80301

(City)

1.Title of	2. Transaction Date		3.	· · · · · · · · · · · · · · · · · · ·			5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if		tion(A) or Disposed of			Securities	Form: Direct	Indirect	
(Instr. 3)		any	Code	(D)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
							Reported			
					(A)		Transaction(s)			
					or		(Instr. 3 and 4)			
			Code V	Amount	(D)	Price	(Instit & talle 1)			
Common Stock	04/01/2017		M	6,718 (1)	A	\$0	46,472 (2)	D		
Common Stock	04/01/2017		F	4,408 (3)	D	\$ 8.94	42,064	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: ARRAY BIOPHARMA INC - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number ion Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	′ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	04/01/2017		M	6,718 (1)	<u>(4)</u>	04/01/2020	Common Stock	6,718

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MOORE JOHN R C/O ARRAY BIOPHARMA INC. 3200 WALNUT STREET BOULDER, CO 80301

VP, General Counsel

## **Signatures**

John R. Moore 04/04/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares issued on settlement of Restricted Stock Units ("RSUs") granted to the reporting person, of which 2,105 shares were withheld upon vesting to satisfy tax. The grant of these RSUs was previously reported on Table II of a form 4 filed by the reporting person.
- Includes 13,438 unvested RSUs awarded on April 1, 2015 to the reporting person for no additional cash consideration, each of which (2) represent a contingent right to receive one share of Array BioPharma Inc. common stock and which were reported by the reporting person on Table I on a form 4 filed upon grant of the RSUs.
- (3) Represents shares withheld to satisfy tax withholding obligations of the reporting person in connection with the vesting of RSUs held by the reporting person.
- (4) The RSUs vest and will be settled in stock in four equal annual installments beginning April 1, 2017.
  - Consists of unvested RSUs awarded to the reporting person for no additional cash consideration and represent a contingent right to
- (5) receive one share of Array BioPharma Inc. common stock. The grant of these RSUs was previously reported on Table II of a form 4 filed by the reporting person.

Reporting Owners 2

### Edgar Filing: ARRAY BIOPHARMA INC - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.