Edgar Filing: Lyon Michael J. - Form 4

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| Form 4 | | | | | | | | | | | |
|--|----------------------------|--|--------|---|--|------------------|---------------------|--|--|--------------|--|
| December 1 | | | | | | | | | OMB AF | PPROVAL | |
| FORM | 4 UNITI | ED STATE | | | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Check th | | | | | | | | | | | |
| if no lon subject to Section Form 4 c Form 5 | o SIAI 16. or | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF | | | | | | | | | |
| obligatio may con <i>See</i> Instr 1(b). | tinue. Section | 17(a) of the | | tility Hold | ling Con | npany | y Act of | 1935 or Section | n | | |
| (Print or Type | Responses) | | | | | | | | | | |
| Lyon Michael J. Symbo | | | Symbol | suer Name and Ticker or Trading ol rn Energy Group Inc. [PEGI] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | | | • | . [PE | GIJ | (Check all applicable) | | | |
| (Month/ | | | | ate of Earliest Transaction hth/Day/Year) .4/2018 | | | | Director 10% Owner Officer (give title Other (specify below) below) below) Chief Financial Officer | | | |
| SAN FRAN | (Street) | 94111 | | ndment, Da nth/Day/Year | - | 1 | | 6. Individual or Jo Applicable Line) _X_Form filed by 0 | One Reporting Pe | rson | |
| (City) | (State) | (Zip) | Tabl | o I - Non-D | arivativa | Secur | ities Aca | Person uired, Disposed of | ° or Bonoficial | ly Owned | |
| 1.Title of Security (Instr. 3) | | Transaction Date 2A. Deemed Aonth/Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securi on(A) or Di (Instr. 3, | ties A ispose | cquired d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of | |
| Class A | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Class A Common Stock | 12/14/2018 | | | F | 1,448 (1) | D | \$ 20.91 | 176,855 | D | | |
| Class A Common Stock | 12/14/2018 | | | F | 856 <u>(2)</u> | D | \$ 20.91 | 175,999 | D | | |
| Class A Common Stock | 12/14/2018 | | | F | 1,616 (3) | D | \$ 20.91 | 174,383 | D | | |
| Class A Common | | | | | | | | 1,500 | Ι | By spouse | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Lyon Michael J. 1088 SANSOME STREET SAN FRANCISCO, CA 94111 | | | Chief Financial Officer | | | | | |
| Signatures | | | | | | | | |
| /s/ Kim H. Liou, | 12 | /18/2018 | | | | | | |

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- Represents shares withheld for satisfaction of a tax withholding obligation arising as a result of the partial vesting of a 2016 restricted (1) stock grant previously reported.
- Represents shares withheld for satisfaction of a tax withholding obligation arising as a result of the partial vesting of a 2017 restricted (2) stock grant previously reported.
- Represents shares withheld for satisfaction of a tax withholding obligation arising as a result of the partial vesting of a 2018 restricted (3) stock grant previously reported.

Attorney-in-fact

**Signature of Reporting Person

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.