## Edgar Filing: BRICKHOUSE BRIAN S - Form 3

### **BRICKHOUSE BRIAN S**

Form 3 July 05, 2018

# FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Eaton Corp plc [ETN] À BRICKHOUSE BRIAN S (Month/Day/Year) 07/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1000 EATON BLVD. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CLEVELAND, OHÂ 44122 (give title below) (specify below) Form filed by More than One See Remarks below. Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â **Ordinary Shares** 10,725 **Ordinary Shares**  $1,219.8 \frac{(1)}{2}$ I by trustee of ESP Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	erivative 2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security	4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

Edgar Filing: BRICKHOUSE BRIAN S - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(2)	(3)	Ordinary Shares	839	\$ 0 (4)	D	Â
Restricted Stock Units	02/23/2019(5)	(3)	Ordinary Shares	4,545	\$ 0 (4)	D	Â
Restricted Stock Units	02/21/2018(6)	(3)	Ordinary Shares	1,210	\$ 0 (4)	D	Â
Restricted Stock Units	02/27/2019(7)	(3)	Ordinary Shares	2,105	\$ 0 (4)	D	Â
Stock Option	02/22/2012(8)	02/22/2021	Ordinary Shares	6,390	\$ 53.71	D	Â
Stock Option	02/21/2013(9)	02/21/2022	Ordinary Shares	7,950	\$ 51.94	D	Â
Stock Option	02/26/2014(10)	02/26/2023	Ordinary Shares	7,400	\$ 59.56	D	Â
Stock Option	02/25/2015(11)	02/25/2024	Ordinary Shares	4,650	\$ 75.36	D	Â
Stock Option	02/24/2016(12)	02/24/2025	Ordinary Shares	6,350	\$ 71.72	D	Â
Stock Option	02/23/2017(13)	02/23/2026	Ordinary Shares	13,300	\$ 56.55	D	Â
Stock Option	02/21/2018(14)	02/21/2027	Ordinary Shares	9,050	\$ 71.89	D	Â
Stock Option	02/27/2019(15)	02/27/2028	Ordinary Shares	10,150	\$ 81.96	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
<b></b>	Director	10% Owner	Officer	Other		
BRICKHOUSE BRIAN S 1000 EATON BLVD. CLEVELAND, OH 44122	Â	Â	See Remarks below.	Â		
Signatures						
/s/ Lizbeth L. Wright, as Attorney-in-Fact		07/05/2018				

\*\*Signature of Reporting Person Date

Reporting Owners 2

### Edgar Filing: BRICKHOUSE BRIAN S - Form 3

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These ordinary shares are held in the Eaton Savings Plan.
- (2) These restricted stock units were granted on February 23, 2016 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (3) This field is not applicable.
- (4) Each restricted stock unit represents a contingent right to receive one common share of the issuer.
- (5) These restricted stock units vest on the third anniversary of the grant date.
- (6) These restricted stock units were granted on February 21, 2017 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (7) These restricted stock units were granted on February 27, 2018 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (8) These options were granted on February 22, 2011, and are fully vested and exercisable.
- (9) These options were granted on February 21, 2012, and are fully vested and exercisable.
- (10) These options were granted on February 26, 2013, and are fully vested and exercisable.
- (11) These options were granted on February 25, 2014, and are fully vested and exercisable.
- (12) These options were granted on February 24, 2015, and are fully vested and exercisable.
- (13) These options were granted on February 23, 2016, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.
- These options were granted on February 21, 2017, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.
- (15) These options were granted on February 27, 2018, and are exercisable as follows: 33% on the first and second anniversaries of the grant date and 34% on the third anniversary of the grant date.

Â

#### **Remarks:**

President - Electrical Systems and Services Group of Eaton Corporation, a subsidiary of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.