Edgar Filing: PENA MARDELLE W - Form 4

PENA MAI	RDELLE W										
Form 4											
August 10,	2011										
FORM	14		GEGU	DIFIEG			NOT		OMB A	PROVAL	
	UNITED	STATES		RITIES A shingtor			ANGE C	OMMISSION	OMB Number:	3235-0287	
Check the if no lor subject Section Form 4	F CHANGES IN BENEFICIAL OWN SECURITIES					NERSHIP OF	Expires: Estimated a burden hou response	rs per			
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> PENA MARDELLE W								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) (US INVESTMEN S, INC., 100 PEA			of Earliest 7 Day/Year) 2011	Fransaction	1		Director X Officer (give below) SVP, H		o Owner er (specify es	
				Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
HARTFOR	RD, CT 06103							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivativ	e Secu	rities Acq	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		ion Date 2A. Deemed y/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$0.01 per share	08/09/2011			Code V P	Amount 820	or (D) A	Price \$ 61.1332	(Instr. 3 and 4)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	s	Date	Amou Unde Secur	tle and unt of erlying rities r. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
керо	rting O	wners									
	Reporting C)wner Name / Addres		ector 109	% Owner	Relationships Officer	5		Other		
			Dire	201 107	o Owner	Officer			Other		

PENA MARDELLE W C/O VIRTUS INVESTMENT PARTNERS, INC. 100 PEARL STREET HARTFORD, CT 06103

SVP, Human Resources

Signatures

/s/Kevin J. Carr,	08/10/2011
Attorney-in-Fact	08/10/2011

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This number includes (i) 720 Restricted Stock Units ("RSUs") that are scheduled to cliff vest on August 16, 2013 and (ii) 1,048 RSUs that are scheduled to cliff vest on March 15, 2014. RSUs will be settled for shares of common stock on a one-for-one basis upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.