#### Edgar Filing: ELLIE MAE INC - Form 4

ELLIE MAI	E INC										
Form 4											
August 02, 2	2013										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB APPROVAL			
	<b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b> Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287	
Check th				······································						January 31,	
if no lon subject t	- NIATHI	MENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF						Expires: 2005 Estimated average		
Section 16.				SECURITIES					burden hours per		
Form 4 or									response 0.5		
Form 5	Filed pu	rsuant to S	Section 1	16(a) of the	ne Securi	ities l	Exchange	Act of 1934,			
obligatio may con				•	•	-	•	1935 or Section	l		
See Inst		30(h)	of the In	nvestmen	t Compa	ny A	ct of 1940	)			
1(b).											
	<b>D</b>										
(Print or Type	Responses)										
1 Name and	Address of Deporting	Derson *	0 T					5. Relationship of Reporting Person(s) to			
1. Name and Address of Reporting Person <u>*</u> Luce Edgar			2. Issuer Name <b>and</b> Ticker or Trading				8	Issuer			
Luce Lugai			Symbol ELLIE	MAEIN	CIELLI	n					
			ELLIE MAE INC [ELLI]					(Check all applicable)			
(Last) (First) (Middle)			3. Date of Earliest Transaction								
				(Month/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
C/O ELLIE MAE, INC., 4155 HOPYARD ROAD, SUITE 200				08/01/2013				below) below)			
ΠΟΡΊΑΚΙ	KOAD, SUITE	200						Chief F	inancial Office	er	
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line)			
								_X_ Form filed by O Form filed by M			
PLEASAN	TON, CA 94588							Person		portung	
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	e Secu	rities Acau	ired, Disposed of,	or Beneficial	v Owned	
1.Title of	2. Transaction Date	24 Deem		3.			-		6.	7. Nature of	
Security		Execution Date, if		3. 4. Securities Acquired (A Transaction Disposed of (D)				Securities	0. Ownership	Indirect	
(Instr. 3)		any	,	Code (Instr. 3, 4 and 5)				Beneficially Form:		Beneficial	
		(Month/D	ay/Year)					Owned	Direct (D)	Ownership	
								Following Reported	or Indirect (I)	(Instr. 4)	
						(A)		Transaction(s)	(I) (Instr. 4)		
				Cada V	Amount	or	Duise	(Instr. 3 and 4)	()		
Common				Code v	Amount	(D)	Price				
Stock	08/01/2013 <u>(1)</u>			Μ	5,000	А	\$ 1.38	7,814	D		
Stock							+				
Common	0.0104/0.010(1)					-	\$	• • • • •	-		
Stock	08/01/2013 <u>(1)</u>			S	5,000	D	23.8856	2,814	D		
							(2)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: ELLIE MAE INC - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (It
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Option (right to buy)	\$ 1.38	08/01/2013 <u>(1)</u>		М	5,000	(3)	02/22/2017	Common Stock	5,000	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Luce Edgar C/O ELLIE MAE, INC. 4155 HOPYARD ROAD, SUITE 200 PLEASANTON, CA 94588			Chief Financial Officer				

### Signatures

/s/ Edgar A. Luce \*\*Signature of Date

<u>\*\*</u>Signature of Reporting Person

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sale of these stock options was completed pursuant to the Rule 10b5-1 trading plan adopted by Edgar A. Luce.

(2) The price reported is a weighted average price. The shares were sold in multiple transactions ranging from \$23.76 to \$24.04, inclusive.
 (2) The reporting person undertakes to provide to Ellie Mae, Inc., any security holder of Ellie Mae, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

- (3) 100% of the shares subject to the option are fully vested and exercisable.
- (4) The reported transaction is a grant of a derivative security, in which we have left column 8 blank, and have reported the exercise or conversion price of the derivative security in column 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

#### Edgar Filing: ELLIE MAE INC - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.