REEDER JOE Form 4 January 04, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* REEDER JOE

2. Issuer Name and Ticker or Trading

Symbol

Issuer PERMA FIX ENVIRONMENTAL

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Middle)

SERVICES INC [PESI] 3. Date of Earliest Transaction

(Month/Day/Year)

X\_ Director Officer (give title

10% Owner Other (specify

8302 DUNWOODY PLACE, SUITE 01/02/2019

250

Common

Stock

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

ATLANTA, GA 30350

(City) (State) (Zip)

01/02/2019

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 1. Title of 3. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) (Instr. 3) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

4. Securities Acquired

1.7625

5. Amount of Securities Beneficially Owned **Following** 

6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership

Indirect (I) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Price

(A)

Code V Amount (D)

A 5,106

150,075

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: REEDER JOE - Form 4

8. Price Derivat Securit (Instr. 5

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     | ate                | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---|--|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Stock<br>Option                                     | \$ 13.35  |   |   |                                       |   | <u>(1)</u>          | 07/29/2019         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 8.4  |   |   |                                       |   | (2)                 | 09/29/2020         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 7.05   |   |   |                                       |   | (3)                 | 08/24/2021         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 5.5  |   |   |                                       |   | <u>(4)</u>          | 09/13/2022         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 2.79   |   |   |                                       |   | (5)                 | 09/12/2023         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 3.7  |   |   |                                       |   | <u>(6)</u>          | 09/18/2024         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 4.19   |   |   |                                       |   | <u>(7)</u>          | 09/17/2025         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 4.6  |   |   |                                       |   | (8)                 | 07/28/2026         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 3.55   |   |   |                                       |   | <u>(9)</u>          | 07/27/2027         | Common<br>Stock   | 2,400                                  |
| Stock<br>Option                                     | \$ 4.3  |   |   |                                       |   | (10)                | 07/26/2028         | Common<br>Stock   | 2,400                                  |

# **Reporting Owners**

| Reporting Owner Name / Address                                    | Relationships |           |         |       |  |  |
|---|---------------|-----------|---------|-------|--|--|
| <b>F-</b>   | Director      | 10% Owner | Officer | Other |  |  |
| REEDER JOE<br>8302 DUNWOODY PLACE, SUITE 250<br>ATLANTA, GA 30350 | X             |           |         |       |  |  |

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# **Signatures**

/s/ Joe Reeder 01/04/2019

\*\*Signature of
Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified Stock Option granted 07/29/09 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (2) Non-Qualified Stock Option granted 09/29/10 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (3) Non-Qualified Stock Option granted 08/24/11 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (4) Non-Qualified Stock Option granted 09/13/12 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (5) Non-Qualified Stock Option granted 09/12/13 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (6) Non-Qualified Stock Option granted 09/18/14 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (7) Non-Qualified Stock Option granted 09/17/15 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (8) Non-Qualified Stock Option granted 07/28/16 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (9) Non-Qualified Stock Option granted 07/27/17 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.
- (10) Non-Qualified Stock Option granted 07/26/18 under the Company's 2003 Outside Directors Stock Plan. The Options vest fully six months from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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