Advaxis, Inc. Form 4 September 02, 2015

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Add PETIT ROBE		rting Person *	2. Issuer Name and Ticker or Trading Symbol Advaxis, Inc. [ADXS]	5. Relationship of Reporting Person(s) to Issuer			
			, <u>, , , , , , , , , , , , , , , , , , </u>	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	Director 10% Owner			
305 COLLEC	SE ROAD I	EAST	08/31/2015	_X_ Officer (give title Other (specify below) Chief Scientific Officer			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
PRINCETON,, NJ 08540				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I Non Derivative Securities Age	visited Disposed of an Paneficially Ovener			

• • • • • • • • • • • • • • • • • • • •	` /	17 Table	1 - Non-De	erivative Securiti	ies Acq	uirea, Disposea o	i, or Beneficial	ly Owned	
1.Title of	2. Transaction Date		3.	4. Securities Acq	•	5. Amount of		7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Transactio Code	on(A) or Disposed (D)	10	Securities Beneficially	Form: Direct (D) or	Indirect Beneficial	
(msu: 2)		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
				(A) or		Reported Transaction(s) (Instr. 3 and 4)			
			Code V	Amount (D)	Price	(1115ti . 5 and 4)			
Common Stock	08/31/2015		A	132 <u>(1)</u> A	\$ 14.8	135,719	D		
Common Stock	08/31/2015		F	18 <u>(2)</u> D	\$ 14.8	135,701	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transacti	5. orNumber	6. Date Exerc Expiration D		7. Title Amount		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Tear)	any (Month/Day/Year)	Code (Instr. 8)	of	(Month/Day/		Underly Securiti (Instr. 3	ying ies	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N	Amount or Number of Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

PETIT ROBERT 305 COLLEGE ROAD EAST PRINCETON,, NJ 08540

Chief Scientific Officer

### **Signatures**

/s/ Sara Bonstein, as attorney-in-fact for Petit Robert

09/02/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person voluntarily purchases restricted stock directly from the Company at market price on the last trading day of the **(1)** month.
- (2) Represents shares forfeited by the reporting person in order to pay withholding taxes associated with the stock issuance.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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