Reich Edward I Form 4 December 14, 2011

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

**OMB APPROVAL** 

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Reich Edward I

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) CAPSTONE TURBINE Corp [cpst]

(Check all applicable)

21211 NORDHOFF STREET

(Street)

3. Date of Earliest Transaction

(Month/Day/Year) 12/12/2011

Director 10% Owner X\_ Officer (give title Other (specify

below) **EVP & CFO** 

below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

CHATSWORTH, CA 91311

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year)

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Common Stock

12/12/2011

F 446 (1) D

67,493

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Reich Edward I - Form 4

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.         | 5.                     | 6. Date Exerc       |                    | 7. Title         |            | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------------------|---------------------|--------------------|------------------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber               | ber Expiration Date |                    | Amount of        |            | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of                     | (Month/Day/         | Year)              | Underl           | ying       | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative             | e                   | Securities         |                  | (Instr. 5) | Bene        |        |
|             | Derivative  |                     |                    |            | Securities<br>Acquired |                     |                    | (Instr. 3 and 4) |            |             | Owne   |
|             | Security    |                     |                    |            |                        |                     |                    |                  |            |             | Follo  |
|             | ·           |                     |                    |            | (A) or                 |                     |                    |                  |            | Repo        |        |
|             |             |                     |                    |            | Disposed               |                     |                    |                  |            |             | Trans  |
|             |             |                     |                    |            | of (D)                 |                     |                    |                  |            |             | (Instr |
|             |             |                     |                    |            | (Instr. 3,             |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | 4, and 5)              |                     |                    |                  |            |             |        |
|             |             |                     |                    |            | , )                    |                     |                    |                  |            |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | Amount     |             |        |
|             |             |                     |                    |            |                        | Date<br>Exercisable | Expiration<br>Date |                  | or         |             |        |
|             |             |                     |                    |            |                        |                     |                    | Title            | Number     |             |        |
|             |             |                     |                    |            |                        |                     |                    |                  | of         |             |        |
|             |             |                     |                    | Code V     | (A) (D)                |                     |                    |                  | Shares     |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reich Edward I

21211 NORDHOFF STREET EVP & CFO

CHATSWORTH, CA 91311

# **Signatures**

Edward I. Reich, Reporting
Person
12/14/2011

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were withheld pursuant to the prior authorization of the Reporting Person to cover the tax liability resulting from the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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