

Sandberg David
 Form 4
 September 22, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Red Oak Partners, LLC

2. Issuer Name and Ticker or Trading Symbol
 SMTC CORP [SMTX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
 1441 BROADWAY, SUITE 5022
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)
 09/20/2017

___ Director ___X___ 10% Owner
 ___ Officer (give title below) ___ Other (specify below)

NEW YORK, NY 10018

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 ___ Form filed by One Reporting Person
 ___X___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/20/2017		P	64,396 A	\$ 1.292 754,382	I	By Pinnacle Opportunities Fund, LP directly <u>(1)</u> <u>(2)</u> <u>(6)</u>
Common Stock	09/21/2017		P	3,467 A	\$ 1.295 757,849	I	By Pinnacle Opportunities Fund, LP directly <u>(1)</u> <u>(2)</u> <u>(6)</u>
Common Stock	09/20/2017		P	34,378 A	\$ 1.292 1,122,848	I	By the Red Oak Fund LP

Edgar Filing: Sandberg David - Form 4

Common Stock	09/21/2017	P	5,159	A	\$ 1.295	1,128,007	I	directly <u>(2)</u> <u>(4)</u> <u>(6)</u> By the Red Oak Fund LP directly <u>(2)</u> <u>(4)</u> <u>(6)</u>
Common Stock	09/20/2017	P	14,826	A	\$ 1.292	538,505	I	By the Red Oak Long Fund LP directly <u>(2)</u> <u>(5)</u> <u>(6)</u>
Common Stock	09/21/2017	P	2,474	A	\$ 1.295	540,979	I	By the Red Oak Long Fund LP directly <u>(2)</u> <u>(5)</u> <u>(6)</u>
Common Stock						66,603	I	By The Red Oak Institutional Founders Long Fund LP directly <u>(2)</u> <u>(3)</u>
Common Stock						663,881	I	By David Sandberg Directly

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F...	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

