PDF SOLUTIONS INC Form 8-K July 28, 2017

### **UNITED STATES**

### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

# **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported): July 27, 2017

PDF SOLUTIONS, INC.

(Exact name of registrant as specified in its charter)

000-31311

(Commission File Number)

Delaware25-1701361(State or Other Jurisdiction of Incorporation)(I.R.S. Employer Identification No.)

# Edgar Filing: PDF SOLUTIONS INC - Form 8-K

# 333 West San Carlos Street, Suite 1000

# San Jose, CA 95110

(Address of principal executive offices, with zip code)

(408) 280-7900

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

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## Item 2.02. Results of Operations and Financial Condition.

On July 27, 2017 PDF Solutions (the <u>"Company</u>") issued a press release regarding its financial results and certain other information related to the second fiscal quarter ended June 30, 2017. The Company also posted on the Investor Relations section of its website (<u>www.pdf.com</u>) a management report with regard to the quarter ended June 30, 2017. Copies of the press release and management report are attached to this report as Exhibit 99.1 and 99.2, respectively. Information on the website is not, and will not be deemed, a part of this report or incorporated into any other filings the Company makes with the Securities and Exchange Commission.

The information in this Item 2.02, including Exhibits 99.1 and 99.2, is being furnished and shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the <u>"Exchange Act</u>"), or otherwise subject to the liabilities under that Section, and shall not be deemed incorporated by reference into any filing of the Company under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such filing.

# Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.	Description
99.1	Press Release dated July 27, 2017, regarding financial results and certain other information related to the first fiscal quarter ended June 30, 2017.
99.2	Management Report by PDF Solutions, Inc. as of July 27, 2017

# SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

PDF SOLUTIONS, INC.

(Registrant)

By:/s/Gregory C. Walker Gregory C. Walker

VP, Finance, and Chief Financial Officer

(principal financial and accounting officer)

Dated: July 27, 2017

# **EXHIBIT INDEX**

Exhibit No.	Description
99.1	Press Release dated April 27, 2017, regarding financial results and certain other information related to the first fiscal quarter ended June 30, 2017.
99.2	Management Report by PDF Solutions, Inc. as of July 27, 2017.