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CATHAY GENERAL BANCORP

Form 4 March 23, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

3235-0287

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

CHILI THOMAS C.T.

1. Name and Address of Reporting Person *

| CHIU THOMAS C T | | | Symbol CATHAY GENERAL BANCORP [CATY] | | | | | Issuer | | | |
|---------------------|--|----------------------------------|--------------------------------------|--|--|---|----------|--|---------------------------|-----------------|--|
| | | | | | | | | (Check all applicable) | | | |
| (Last) | (Mont | | (Month/Da | • | ansaction | | | X Director 10% Owner Officer (give title Other (specify below) | | | |
| 777 NORTH BROADWAY | | | 03/19/2015 | | | | | | | | |
| (Street) | | | 4. If Amendment, Date Original | | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | Filed(Month/Day/Year) | | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| LOS ANGE | | | | | Form filed by More than One Reporting Person | | | | | | |
| (City) | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |
| 1.Title of | 2. Transaction Date | 2A. Deemed Execution Date, if | | 3. 4. Securities | | | | | 6. Ownership Form: Direct | | |
| Security (Instr. 3) | (Month/Day/Year) | ar) Executi any | ion Date, n | TransactionAcquired (A) or Code Disposed of (D) | | | | | (D) or | Beneficial | |
| | | (Month | /Day/Year) | (Instr. 8) | | | | Owned Following | Ownership (Instr. 4) | | |
| | | | | | (A) | | Reported | Reported | | | |
| | | | | Code V | Amount | or | Derica | Transaction(s) (Instr. 3 and 4) | | | |
| Common | 02/10/2015 | | | Code V | Amount 1,634 | (D) | Price | (754 (2) | D | | |
| Stock | 03/19/2015 | | | A | <u>(1)</u> | A | \$0 | 6,754 <u>(2)</u> | D | | |
| Common Stock | | | | | | | | 206,330 (2) | I | Family Trust | |
| Common Stock | | | | | | | | 69,732 | I | Pension Fund | |
| | | | | | | | | | | | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

1

SEC 1474

(9-02)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Titl | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|--------------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transaction | orNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ities | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | Title | Or | | |
| | | | | | | Exercisable | Date | ritie | Number of | | |
| | | | | Codo V | (A) (D) | | | | | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| CHIU THOMAS C T 777 NORTH BROADWAY LOS ANGELES, CA 90012 | X | | | | | | |

Signatures

/s/ Thomas C.T.
Chiu

**Signature of Reporting Person

O3/23/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of fully vested common stock of CATY.
- (2) 1,369 shares were transferred to Living Trust as indirect ownership on 4/7/14.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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