### MERCANTILE BANK CORP

Form 4

August 01, 2016

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

Form 5

obligations

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \* PRICE MICHAEL H

2. Issuer Name and Ticker or Trading

Symbol

MERCANTILE BANK CORP

[MBWM]

(Month/Day/Year)

07/28/2016

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Expires:

response...

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

CHAIRMAN, PRESIDENT AND CEO

10% Owner Other (specify

3. Date of Earliest Transaction Director Officer (give title below)

C/O MERCANTILE BANK CORPORATION, 310 LEONARD ST.

(First)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

GRAND RAPIDS, MI 49504

(Middle)

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Indirect Security (Month/Day/Year) Execution Date, if Transactionr Disposed of (D) Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V (D) Price Amount \$ Common 07/28/2016 S 11,520 D 24.987  $72,174 \frac{(2)}{2}$ D Stock (1)

Common In 401(k) 13,001 <sup>(3)</sup> I Stock plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

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### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3		7. Title and Underlying (Instr. 3 and	Securities 1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 27.66					11/19/2017	11/18/2022	Common Stock	2,000
Employee Stock Option (right to buy)	\$ 22.14					11/13/2016	11/12/2021	Common Stock	2,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

PRICE MICHAEL H C/O MERCANTILE BANK CORPORATION 310 LEONARD ST. GRAND RAPIDS, MI 49504

CHAIRMAN, PRESIDENT AND

Relationships

8. F Der Sec (Ins

**CEO** 

# **Signatures**

/s/ Bradley J. Wyatt, Attorney-in-Fact 08/01/2016

\*\*Signature of Reporting Person Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$24.56 to \$25.15, inclusive. The reporting person undertakes to provide to Mercantile Bank Corporation, any security holder of
- (1) Mercantile Bank Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in the preceding sentence.
- (2) Includes shares acquired by reinvesting cash dividends under the issuer's dividend reinvestment plan since last report of common stock ownership.
- (3) Includes shares acquired pursuant to the issuer's 401(k) plan since last report of common stock ownership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.