

CALIX, INC
Form 3
August 14, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Bradaran Mehdi
(Last) (First) (Middle)

C/O CALIX, INC.,Â 1035 N.
MCDOWELL BLVD.

(Street)

PETALUMA,Â CAÂ 94954

(City) (State) (Zip)

1. Title of Security
(Instr. 4)

Common Stock

2. Date of Event Requiring Statement

(Month/Day/Year)
08/12/2014

3. Issuer Name **and** Ticker or Trading Symbol
CALIX, INC [(CALX)]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
SVP, Product Operations

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned
(Instr. 4)

50,222 ⁽¹⁾

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

D Â

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	Â (2)	02/23/2023	Common Stock	25,000	\$ 10.71	D	Â
Stock Option (right to buy)	Â (3)	02/21/2023	Common Stock	20,000	\$ 8.41	D	Â
Stock Option (right to buy)	Â (4)	07/23/2023	Common Stock	5,000	\$ 11.98	D	Â
Stock Option (right to buy)	Â (5)	01/28/2024	Common Stock	12,000	\$ 8.18	D	Â
Stock Option (right to buy)	Â (6)	07/22/2024	Common Stock	20,000	\$ 8.43	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bradaran Mehdi C/O CALIX, INC. 1035 N. MCDOWELL BLVD. PETALUMA, CA 94954	Â	Â	Â SVP, Product Operations	Â

Signatures

/s/ Mehdi
Bradaran

08/13/2014

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Includes 16,250 restricted stock units.
- (2) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 23rd day of each month, with completion of vesting to occur on 2/23/2016.
- (3) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 21st day of each month, with completion of vesting to occur on 2/21/2017.
- (4) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 23rd day of each month, with completion of vesting to occur on 7/23/2017.
- (5) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 28th day of each month, with completion of vesting to occur on 1/28/2018.
- (6) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 22nd day of each month, with completion of vesting to occur on 7/22/2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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