

GLU MOBILE INC  
Form 4  
March 28, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wienbar Sharon L

(Last) (First) (Middle)

SCALE VENTURE  
PARTNERS, 950 TOWER LANE,  
SUITE 700

(Street)

FOSTER CITY, CA 94404

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
GLU MOBILE INC [GLUU]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/27/2007

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |           |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-----------|------------|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount    | (A) or (D) | Price   |  |   |
| Common Stock                    | 03/27/2007                           |  | C                              |   | 1,997,631 | A          | <u>(1)</u> 1,997,631  | I  | By BAVP, L.P. <u>(2)</u>                              |
| Common Stock                    | 03/27/2007                           |  | C                              |   | 307,950   | A          | <u>(3)</u> 2,305,581  | I  | By BAVP, L.P. <u>(2)</u>                              |
| Common Stock                    | 03/27/2007                           |  | C                              |   | 95,238    | A          | <u>(4)</u> 2,400,819  | I  | By BAVP, L.P. <u>(2)</u>                              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                  |
|---|---|---|---|---|--|--|-----|---|--------------------|-----------------|----------------------------------|
|   |   |   |   | Code                                    | V  | (A)  | (D) | Date<br>Exercisable   | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Series C<br>Preferred<br>Stock                      | (1)   | 03/27/2007                              |   | C                                       |  | 1,997,631  |     | (1)   | (1)                | Common<br>Stock | 1,997,631                        |
| Series D<br>Preferred<br>Stock                      | (1)   | 03/27/2007                              |   | C                                       |  | 307,950  |     | (3)   | (3)                | Common<br>Stock | 307,950                          |
| Junior<br>Preferred<br>Stock                        | (4)   | 03/27/2007                              |   | C                                       |  | 95,238   |     | (4)   | (4)                | Common<br>Stock | 95,238                           |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Wienbar Sharon L<br>SCALE VENTURE PARTNERS<br>950 TOWER LANE, SUITE 700<br>FOSTER CITY, CA 94404 |               | X         |         |       |

## Signatures

/s/ Sharon L.  
Wienbar

03/28/2007

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each share of Series C Preferred Stock converted to one share of Common Stock for no additional consideration and had no expiration date.

(2)

## Edgar Filing: GLU MOBILE INC - Form 4

Represents securities held by BAVP, L.P. The voting and disposition of shares held by BAVP, L.P. is determined by Scale Venture Management I, LLC, the ultimate general partner of BAVP, L.P. The Reporting Person is a member of Scale Venture Management I, LLC. The Reporting Person disclaims beneficial ownership of these shares except to the extent of her pecuniary interest therein.

- (3) Each share of Series D Preferred Stock converted to one share of Common Stock for no additional consideration and had no expiration date.
- (4) Each share of Junior Preferred Stock converted to one share of Common Stock for no additional consideration and had no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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