TFS Financial CORP Form 4 August 12, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response... 0.5

OMB APPROVAL

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Stefanik Paul W

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last)

(City)

(First)

(Middle)

(Zip)

TFS Financial CORP [TFSL] 3. Date of Earliest Transaction

X_ Director

10% Owner

Other (specify

7007 BROADWAY AVENUE

(Street)

(State)

(Month/Day/Year)

08/11/2013

below)

Officer (give title

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

> _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

CLEVELAND, OH 44105

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) anv (Month/Day/Year)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership (Instr. 4) Following (Instr. 4) Reported

I

(A)

Transaction(s)

Code V Amount (D) Price

(Instr. 3 and 4)

Common Stock

08/11/2013

\$0 M 10,000

 $70,000^{(2)}$

Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: TFS Financial CORP - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. N	Number of	6. Date Exer	cisable and	7. Title and A	Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	iorDer	rivative	Expiration Date		Underlying Securities	
Security	or Exercise		any	Code	Sec	curities	(Month/Day/Year)		(Instr. 3 and 4)	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acc	quired (A)				
	Derivative				or I	Disposed of				
	Security				(D)					
	•				(Ins	str. 3, 4,				
					and	15)				
				C 1 1	7 (4)	(D)	ъ.	E	m: .1	
				Code V	(A)	(D)	Date	Expiration	Title	Amount
							Exercisable	Date		or
										Number
										of Shares
Restricted										
Stock	(3)	08/11/2013		M		10,000	(1)	(1)	Common	10,000
	<u>131</u>	00/11/2013		1V1		10,000	<u> </u>	<u> </u>	Stock	10,000
Units										

De Se (In

Reporting Owners

Reporting Owner Name / Address	Relationships						
.1	Director 10% Owner Officer		Officer	Other			
Stefanik Paul W							
7007 BROADWAY AVENUE	X						
CLEVELAND, OH 44105							

Signatures

/s/ Paul J. Huml, Pursuant to Power of
Attorney

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As reported on a Form 4 filed August 13, 2008, the reporting person received a grant of 50,000 Restricted Stock Units ("RSUs") on (1) August 11, 2008. On each annual vesting date, beginning on August 11, 2009 and ending August 11, 2013, 20% of the RSUs will vest which will result in the issuance of 10,000 shares of TFS Financial Corporation common stock to the reporting person.
- (2) 10,000 shares, which were individually and directly owned by the reporting person, were contributed to the reporting person's trust on August 29, 2012, in which he is the trustee.
- Each restricted stock unit represents a contingent right to receive one share of TFS Financial Corporation common stock. Restricted stock units are entitled to dividend equivalent rights in the form of a cash payment in the amount of any cash dividend paid per share of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2