

TriState Capital Holdings, Inc.  
Form 8-K  
May 21, 2018

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): May 17, 2018

TRISTATE CAPITAL HOLDINGS, INC.  
(Exact name of registrant as specified in its charter)

|   |                                       |  |
|---|---------------------------------------|--|
| Pennsylvania<br>(State or other jurisdiction of incorporation or organization)<br>One Oxford Centre<br>301 Grant Street, Suite 2700<br>Pittsburgh, Pennsylvania 15219<br>(Address of principal executive offices)<br>(Zip Code)<br>(412) 304-0304<br>(Registrant's telephone number, including area code) | 001-35913<br>(Commission File Number) | 20-4929029<br>(I.R.S. Employer Identification No.) |
|---|---------------------------------------|--|

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.



Item 5.07. Submission of Matters to a Vote of Security Holders.

On May 17, 2018, TriState Capital Holdings, Inc. (the “Company”) held its annual meeting of shareholders. At the annual meeting, shareholders of the Company: (1) elected James F. Getz, Kim A. Ruth and Richard B. Seidel to the Board of Directors for terms expiring in 2022; and (2) approved the ratification of KPMG LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2018. The final results of voting on each of the matters submitted to a vote of security holders during the annual meeting are as follows.

| 1. Election of Directors For   | Withheld   | Broker<br>Non-Votes |                   |                   |
|--|------------|---------------------|-------------------|-------------------|
| James F. Getz  | 23,779,906 | 742,924             | 2,121,514         |                   |
| Kim A. Ruth  | 20,443,576 | 4,079,254           | 2,121,514         |                   |
| Richard B. Seidel  | 23,785,813 | 737,017             | 2,121,514         |                   |
|  |            |                     |                   |                   |
| 2. Ratification of KPMG LLP as independent registered public accountants |            |                     | For<br>26,617,850 | Against<br>26,494 |
|  |            |                     |                   | Abstain<br>—      |

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

TRISTATE CAPITAL HOLDINGS, INC.

By: /s/ James F. Getz  
James F. Getz  
Chairman, President and Chief Executive Officer

Date: May 21, 2018