#### SWANBERG CHRISTOPHER G

Form 4 July 19, 2011

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

Number:

3235-0287

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January 31, 2005

0.5

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subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * SWANBERG CHRISTOPHER G |            |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|--|------------|----------|--|---|--|--|--|
|  |            |          | CVR ENERGY INC [CVI]                               | (Check all applicable)  |  |  |  |
| (Last)   | (First)    | (Middle) | 3. Date of Earliest Transaction                    |   |  |  |  |
|  |            |          | (Month/Day/Year)                                   | Director 10% Owner  |  |  |  |
| C/O CVR ENERGY, INC., 2277<br>PLAZA DRIVE, SUITE 500             |            |          | 07/16/2011   | X Officer (give title Other (specif below)  |  |  |  |
|  | (Street)   |          | 4 ISA   1   4 D 4 O 1   1                          |   |  |  |  |
| (Sifect)   |            |          | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check   |  |  |  |
| SUGAR LAI  | ND, TX 774 | 179      | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |  |  |

| (City)                               | (State)   | (Zip) Tabl | e I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |                  |             |  |  |   |  |  |
|--------------------------------------|---|------------|--|--|------------------|-------------|--|--|---|--|--|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date any (Month/Day/Year) |            | 3.<br>Transaction<br>Code<br>(Instr. 8)                                      | 4. Securities Acquired on (A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
|                                      |   |            | Code V   | Amount   | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |  |  |
| Common<br>Stock                      | 07/16/2011  |            | F  | 2,958<br>(1)   | D                | \$<br>26.24 | 41,315   | D  |   |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: SWANBERG CHRISTOPHER G - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5.<br>orNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |            | 7. Titl<br>Amou<br>Under<br>Securi<br>(Instr. | nt of<br>lying         | 8. Price of Derivative Security (Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|---------------------------------------|--|--|------------|---|------------------------|--|---|
|   |   |   |   |                                       | 4, and 5)  | Date   | Expiration |   | Amount                 |  |   |
|   |   |   |   | Code V                                | (A) (D)  | Exercisable  | Date       | Title   | Number<br>of<br>Shares |  |   |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

SWANBERG CHRISTOPHER G C/O CVR ENERGY, INC. 2277 PLAZA DRIVE, SUITE 500 SUGAR LAND, TX 77479

VP, EHS

## **Signatures**

/s/ Susan M. Ball, Attorney-in-fact

07/19/2011

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Mr. Swanberg was granted 24,340 shares of restricted common stock on July 16, 2010. This stock award vests in three annual

(1) installments. The first installment, consisting of 8,114 shares vested on July 16, 2011. Of this amount, 2,958 shares (the amount disclosed above) were purchased by the company to satisfy Mr. Swanberg's tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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