

AMYRIS, INC.
Form 3
August 09, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â WEAVER KAREN | | (Month/Day/Year) | AMYRIS, INC. [AMRS] | |
| (Last) | (First) | (Middle) | 08/01/2013 | |
| C/O AMYRIS, INC., Â 5885 | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| HOLLIS ST., SUITE 100 | | | | |
| (Street) | | | (Check all applicable) | |
| EMERYVILLE, Â CA Â 94608 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | (give title below) (specify below) | <input type="checkbox"/> Form filed by More than One Reporting Person |
| | | | Principal Accounting Officer | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 8,778 ⁽¹⁾ | D | Â |
| Common Stock | 26,114 ⁽²⁾ | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|------------------------------------|---------------------------------|---|
|--|--|---|------------------------------------|---------------------------------|---|

Edgar Filing: AMYRIS, INC. - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Derivative Security | Security: Direct (D) or Indirect (I) (Instr. 5) | |
|-----------------------------|------------------|-----------------|--------------|----------------------------|---------------------|---|---|
| Stock Option (Right to Buy) | Â <u>(3)</u> | 06/12/2021 | Common Stock | 27,000 | \$ 16 <u>(4)</u> | D | Â |
| Stock Option (Right to Buy) | Â <u>(5)</u> | 04/08/2022 | Common Stock | 22,500 | \$ 3.86 | D | Â |
| Stock Option (Right to Buy) | Â <u>(6)</u> | 04/08/2022 | Common Stock | 3,000 | \$ 3.86 | D | Â |
| Stock Option (Right to Buy) | Â <u>(7)</u> | 07/21/2023 | Common Stock | 27,000 | \$ 2.79 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WEAVER KAREN C/O AMYRIS, INC. 5885 HOLLIS ST., SUITE 100 EMERYVILLE, CA 94608 | Â | Â | Â Principal Accounting Officer | Â |

Signatures

/s/ Karen Weaver by Nicholas Khadder,
Attorney-in-Fact

08/09/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock unit (RSU) award granted on April 9, 2012, with a 3-year annual vesting schedule, under which the first 1/3rd of the units vested on April 9, 2013 less 1,222 shares withheld to satisfy the Reporting Person's tax withholding obligation upon settlement following the first vesting date.
 - (2) Represents restricted stock unit (RSU) award granted on October 15, 2012, with a 3-year annual vesting schedule, under which the first 1/3rd of the units vested on April 1, 2013 less 3,886 shares withheld to satisfy the Reporting Person's tax withholding obligation upon settlement following the first vesting date.
 - (3) The stock option vested as to 1/4th of the total number of shares subject to the option on May 2, 2012, and thereafter vests as to 1/48th of the total number of shares subject to the option in equal monthly installments.
 - (4) The stock option was originally granted with an exercise price of \$28.61 per share. The stockholders approved at the Issuer's annual meeting of stockholders held on May 24, 2012 a one-time stock option re-pricing program in which the Issuer's employees with eligible stock options would receive a one-time reduction in the exercise price for such options to \$16.00 per share.
 - (5) The stock option vested as to 1/48th of the total number of shares subject to the option on May 9, 2012, and thereafter vests as to 1/48th of the total number of shares subject to the option in equal monthly installments.
 - (6) The stock option vested as to 100% of the total number of shares subject to the option on April 9, 2013.
 - (7) The stock option vests as to 1/4th of the total number of shares subject to the option on April 1, 2014, and thereafter vests as to 1/48th of the total number of shares subject to the option in equal monthly installments.

Edgar Filing: AMYRIS, INC. - Form 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.