## Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 4

LIGHTPATH Form 4 July 08, 2016	H TECHNOLO	GIES INC									
<b>FORM</b> Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	s box er <b>STATE</b> 6. Filed pu Is Section 17	MENT O arsuant to a (a) of the	Was F CHAN Section 10	hington, GES IN I SECUR 6(a) of the ility Hold	D.C. 209 BENEFI ITIES e Securiti ling Com	549 CIA ies Ez ipany	<b>L OW</b> xchang Act o	COMMISSION NERSHIP OF ge Act of 1934, f 1935 or Section 40	OMB Number: Expires: Estimated a burden hou response	rs per	
GAYNOR JOSEPH J JR S			2. Issuer Name <b>and</b> Ticker or Trading Symbol LIGHTPATH TECHNOLOGIES INC [LPTH]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				te of Earliest Transaction th/Day/Year) 8/2016				X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO			
ORLANDO	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) RLANDO, FL 32826				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ties Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	r) Executio any		Code (Instr. 8)	4. Securi on(A) or Di (D) (Instr. 3,	ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	07/08/2016			Р	1,784 (1)	A	\$ 1.57	51,504	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. tionNumber of ) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	Date	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code N		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
I G G G G G G G G G G G G G G G G G G G	Director	10% Owner	Officer	Other				
GAYNOR JOSEPH J JR 2603 CHALLENGER TECH CT SUITE 100 ORLANDO, FL 32826	Х		President and CEO					
Signatures								
/s/ Dorothy M. Cipolla, attorney-in-fact		07/08/2016						
**Signature of Reporting Person		Date						

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares were acquired under the Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.