Edgar Filing: BROWNING MICHAEL G - Form 4

Form 4 May 07, 2018 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction	STATEM	W: ENT OF CHA	RITIES AND EXCHA ashington, D.C. 20549 NGES IN BENEFICIA SECURITIES			OMB AP OMB Number: Expires:	PROVAL 3235-0287 January 31,	
FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.	STATEM	W: ENT OF CHA	ashington, D.C. 20549 NGES IN BENEFICIA			OMB Number:	3235-0287	
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Section 16. Form 4 or Form 5 obligations may continue.	·		SECURITIES			•	2005	
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may continue.	Section 1/(a		16(a) of the Securities E	•				
See Instruction			Jtility Holding Company	•	35 or Section			
		50(ff) of the f	investment Company Ac	1 01 1940				
1(0).								
(Print or Type Respondence)	nses)							
BROWNING MICHAEL G Symbol			er Name and Ticker or Tradi	0	5. Relationship of Reporting Person(s) to Issuer			
				153	155001			
			Energy CORP [DUK]		(Check all applicable)			
		,	of Earliest Transaction					
550 S. TRYON STREET 05/03			•	_	X_ Director10% Owner Officer (give titleOther (specify below) below)			
		03/03/	2018	be				
		4. If An	nendment, Date Original	6.	6. Individual or Joint/Group Filing(Check			
Filed			onth/Day/Year)		Applicable Line)			
CUADIOTTE	10 20202			_X				
CHARLOTTE, I	NC 20202			Pe	rson			
(City) (State) ((Zip) Ta	ble I - Non-Derivative Secur	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned	
1.Title of 2. Tra	insaction Date	2A. Deemed	3. 4. Securities Acc	quired (A)	5. Amount of	6. 7. Na	7. Nature of	
	-	Execution Date, if	Transaction Disposed of (Securities	Ownership	Indirect	
(Instr. 3)		•)	-			
		(intendi Day, i cai)	(msu: o)		Following	or Indirect	(Instr. 4)	
			(A)	Reported	(I) (In str. 4)		
				or		(Instr. 4)		
			Code V Amount (D) Price	(
Common 05/0	3/2018		A 2,006.018 A	x \$ 79.76	24,539.018	D		
1(b). (Print or Type Respondent 1. Name and Address BROWNING M (Last) (1) 550 S. TRYON S (1) CHARLOTTE, N (City) (1) 1.Title of 2. Tra	nses) s of Reporting H ICHAEL G (First) (M STREET Street) NC 28202 State) (Insaction Date th/Day/Year)	Person 2. Issu Symbol Duke 1 Jiddle) 3. Date (Month) 05/03/ 4. If An Filed(M Zip) Tal 2A. Deemed	Energy CORP [DUK] of Earliest Transaction Day/Year) 2018 hendment, Date Original onth/Day/Year) ble I - Non-Derivative Secur 3. 4. Securities Acc Transactionor Disposed of (Code (Instr. 3, 4 and 5 (Instr. 8)	ng 5. Iss be 6. 	(Check X Director Officer (give ti low) Individual or Join pplicable Line) (_ Form filed by Or _ Form filed by Mo rson ed, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	all applicable) te 10% (0ther below) nt/Group Filing the Reporting Per- or Beneficially 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)) Owner r (specify g(Check son porting y Owned 7. Nature Indirect Beneficia Ownersh	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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Derivative C Security or (Instr. 3) P D	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
I O	Director	10% Owner	Officer	Other			
BROWNING MICHAEL G 550 S. TRYON STREET	Х						
CHARLOTTE, NC 28202							
Signatures							
(a) David S. Maltz, attornay in fact for Michael C.							

/s/ David S. Maltz, attorney-in-fact for Michael G. Browning

**Signature of Reporting Person

Date

05/03/2018

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.