

SHAW RUTH G  
Form 4  
January 10, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SHAW RUTH G

2. Issuer Name and Ticker or Trading Symbol  
Duke Energy CORP [DUK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
526 S. CHURCH STREET

3. Date of Earliest Transaction (Month/Day/Year)  
01/08/2007

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
Exec Adv to Chairman, Pres & CEO

(Street)  
CHARLOTTE, NC 28202

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8) Code	Disposed of (D)		Date Exercisable	Expiration Date	Title	A
				V	(A)				
Stock Option (Right to Buy)	\$ 29.47	01/08/2007	D <sup>(1)</sup>		200,000	04/16/2003	04/16/2008	Common Stock	2
Stock Option (Right to Buy)	\$ 16.79	01/08/2007	A <sup>(1)</sup>	200,000		04/16/2003	04/16/2008	Common Stock	2
Stock Option (Right to Buy)	\$ 24.88	01/08/2007	D <sup>(1)</sup>		93,000	12/20/2004	12/20/2009	Common Stock	9
Stock Option (Right to Buy)	\$ 14.17	01/08/2007	A <sup>(1)</sup>	93,000		12/20/2004	12/20/2009	Common Stock	9
Stock Option (Right to Buy)	\$ 42.81	01/08/2007	D <sup>(1)</sup>		123,600	12/20/2005	12/20/2010	Common Stock	1
Stock Option (Right to Buy)	\$ 24.38	01/08/2007	A <sup>(1)</sup>	123,600		12/20/2005	12/20/2010	Common Stock	1
Stock Option (Right to Buy)	\$ 37.68	01/08/2007	D <sup>(1)</sup>		91,500	12/19/2005	12/19/2011	Common Stock	9
Stock Option (Right to Buy)	\$ 21.46	01/08/2007	A <sup>(1)</sup>	91,500		12/19/2005	12/19/2011	Common Stock	9
Stock Option (Right to Buy)	\$ 13.77	01/08/2007	D <sup>(1)</sup>		110,000	<sup>(2)</sup>	02/25/2013	Common Stock	1
Stock Option (Right to Buy)	\$ 7.84	01/08/2007	A <sup>(1)</sup>	110,000		<sup>(2)</sup>	02/25/2013	Common Stock	1

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHAW RUTH G 526 S. CHURCH STREET CHARLOTTE, NC 28202			Exec Adv to Chairman, Pres & CEO	

## Signatures

By: David S. Maltz,  
Attorney-in-fact for

01/10/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On January 2, 2007, Duke Energy Corporation ("Issuer") spun off its natural gas businesses to form the stand-alone company Spectra Energy Corp. As a result, equitable adjustments were made to Duke Energy's outstanding stock option awards.

(2) Vested in four annual, equal installments beginning on February 25, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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