

LADENBURG THALMANN FINANCIAL SERVICES INC.
Form 8-K
May 31, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported):

May 30, 2018

Ladenburg Thalmann Financial Services Inc.

(Exact name of registrant as specified in its charter)

Florida

001-15799

650701248

(State or other jurisdiction
of incorporation)

(Commission
File Number)

(I.R.S. Employer
Identification No.)

4400 Biscayne Blvd., 12th Floor, Miami,
Florida

33137

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code:

(305) 572-4100

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Top of the Form

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company []

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. []

Top of the Form**Item 5.07 Submission of Matters to a Vote of Security Holders.**

Ladenburg Thalmann Financial Services Inc. (the Company), a Florida corporation, held its 2018 annual meeting of shareholders on May 30, 2018. Listed below are the matters voted upon and the final results of such voting:

1. The Company's shareholders elected the individuals named below to serve as the Company's directors until the next annual meeting of shareholders or until their respective successors are elected and qualified:

Name	For	Authority Withheld	Broker Non-Votes
Henry C. Beinstein	143,399,886	2,572,380	32,385,403
Phillip Frost, M.D.	129,351,897	16,620,369	32,385,403
Brian S. Genson	142,847,368	3,124,898	32,385,403
Saul Gilinski	145,316,660	655,606	32,385,403
Dr. Richard M. Krasno	144,998,638	973,628	32,385,403
Richard J. Lampen	145,303,429	668,837	32,385,403
Howard M. Lorber	129,335,125	16,637,141	32,385,403
Jeffrey S. Podell	145,252,137	720,129	32,385,403
Jacqueline M. Simkin	145,036,733	935,533	32,385,403
Mark Zeitchick	145,275,346	696,920	32,385,403

2. Approval, on a non-binding advisory basis, of the compensation of the Company's named executive officers:

For	Against	Abstain	Broker Non-Votes
143,770,329	1,692,395	509,542	32,385,403

3. Ratification of the appointment of EisnerAmper LLP as the Company's independent registered public accounting firm for 2018:

For	Against	Abstain	Broker Non-Votes
177,159,156	1,087,528	110,985	N/A

Top of the Form

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Ladenburg Thalmann Financial Services Inc.

May 31, 2018

By: */s/ Brett H. Kaufman*

Name: Brett H. Kaufman

Title: Senior Vice President and Chief Financial Officer