

PIPER JAFFRAY COMPANIES  
Form 8-K  
May 06, 2010

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported):

May 5, 2010

Piper Jaffray Companies

(Exact name of registrant as specified in its charter)

Delaware

1-31720

30-0168701

(State or other jurisdiction  
of incorporation)

(Commission  
File Number)

(I.R.S. Employer  
Identification No.)

800 Nicollet Mall, Suite 800, Minneapolis,  
Minnesota

55402

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code:

(612) 303-6000

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



**Top of the Form**Item 5.07. Submission of Matters to a Vote of Security Holders.

- (a) The Company's 2010 annual meeting of shareholders was held on May 5, 2010. The holders of 15,408,303 shares of common stock, 74 percent of the outstanding shares entitled to vote as of the record date, were represented at the meeting in person or by proxy.
- (b) At the annual meeting, Andrew S. Duff, Michael R. Francis, Virginia Gambale, B. Kristine Johnson, Addison L. Piper, Lisa K. Polsky, Frank L. Sims, Jean M. Taylor and Michele Volpi were elected as directors to serve a one-year term expiring at the annual meeting of shareholders in 2011. The following table shows the vote totals for each of these individuals:

| <b>Name</b>         | <b>Votes For</b> | <b>Authority Withheld</b> |
|---------------------|------------------|---------------------------|
| Andrew S. Duff      | 13,584,305       | 382,009                   |
| Michael R. Francis  | 13,801,634       | 164,680                   |
| Virginia Gambale    | 13,796,526       | 169,788                   |
| B. Kristine Johnson | 13,324,773       | 641,541                   |
| Addison L. Piper    | 13,780,801       | 185,513                   |
| Lisa K. Polsky      | 13,796,326       | 169,988                   |
| Frank L. Sims       | 13,798,090       | 168,224                   |
| Jean M. Taylor      | 13,793,646       | 172,668                   |
| Michele Volpi       | 13,795,451       | 170,863                   |

Broker non-votes for each director totaled 1,441,989.

At the annual meeting, our shareholders also approved the proposal to ratify the selection of Ernst & Young LLP as the independent auditor for 2010. The following table indicates the specific voting results for this proposal:

| <b>Proposal</b>   | <b>Votes For</b> | <b>Votes Against</b> | <b>Abstentions</b> |
|---|------------------|----------------------|--------------------|
| Ratify the selection of Ernst & young LLP as the independent auditor for 2010 | 14,897,273       | 63,674               | 447,356            |

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Piper Jaffray Companies

*May 6, 2010*

By: *John W. Geelan*

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*Name: John W. Geelan*

*Title: Assistant General Counsel and Assistant Secretary*