## Edgar Filing: Giffin David - Form 4

Giffin David

Form 4											
October 12, 2	011										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISS									OMB APPROVAL		
Washington, D.C. 20549							COMMISSION	OMB Number:	3235-0287		
Check this if no longe	ar .								Expires:	January 31,	
subject to Section 16. Form 4 or				CHANGES IN BENEFICIAL OWN SECURITIES				NERSHIP OF	Estimated a burden hou response	rs per	
Form 5 obligation may contin <i>See</i> Instruct 1(b).	s Section 1' nue. ction	7(a) of the 1	Public Ut	ility Hold		pany	Act of	e Act of 1934, f 1935 or Sectio 40	·	0.0	
(Print or Type R	esponses)										
Giffin David Symb				. Issuer Name <b>and</b> Ticker or Trading mbol ereotaxis, Inc. [STXS]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction			(Chec	k all applicable	;)	
	OTAXIS, INC RK AVENUE		(Month/D 10/10/20					Director X Officer (give below) VP, H		o Owner er (specify es	
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
ST. LOUIS,	MO 63108							Form filed by N Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any		Code	on(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	10/10/2011			A	27,523 (1)	A	\$ 0	72,292	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Giffin David C/O STEREOTAXIS, INC. 4320 FOREST PARK AVENUE, SUITE 100 ST. LOUIS, MO 63108			VP, Human Resources				
Signatures							
/s/ David Giffin 10/12/2011							

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a right to receive one share of Stereotaxis common stock. The restricted stock units vest 100% on March 31, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.