

SUWINSKI JAN
Form 4
June 01, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SUWINSKI JAN

(Last) (First) (Middle)

3520 KRAFT ROAD, SUITE 300

(Street)

NAPLES, FL 34105

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ACI WORLDWIDE, INC. [ACIW]

3. Date of Earliest Transaction
(Month/Day/Year)

05/30/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/30/2018		M	30,000 A	\$ 5.04 166,896	D	
Common Stock	05/30/2018		S	30,000 D	\$ 24.1942 (1) 136,896	D	
Common Stock	05/31/2018		M	7,714 A	\$ 6.1033 144,610	D	
Common Stock	05/31/2018		S	7,714 D	\$ 24.301 (2) 136,896	D	
Common Stock	05/31/2018		M	13,500 A	\$ 6.1033 150,396	D	

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Common Stock	05/31/2018	S	13,500	D	\$ <u>24.4931</u> (3)	136,896	D
Common Stock	06/01/2018	M	8,786	A	\$ 6.1033	145,682	D
Common Stock	06/01/2018	S	8,786	D	\$ <u>24.5556</u> (4)	136,896	D
Common Stock	06/01/2018	M	15,000	A	\$ 9.6667	151,896	D
Common Stock	06/01/2018	S	15,000	D	\$ <u>24.6457</u> (5)	136,896	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 5.04	05/30/2018		M	30,000	<u>(6)</u> 06/10/2019	Common Stock	30,000
Non-Qualified Stock Option (right to buy)	\$ 6.1033	05/31/2018		M	7,714	<u>(6)</u> 06/09/2020	Common Stock	7,714
Non-Qualified Stock Option (right to buy)	\$ 6.1033	05/31/2018		M	13,500	<u>(6)</u> 06/09/2020	Common Stock	13,500
Non-Qualified Stock Option (right to buy)	\$ 6.1033	06/01/2018		M	8,786	<u>(6)</u> 06/09/2020	Common Stock	8,786

Non-Qualified Stock Option (right to buy)	\$ 9.6667	06/01/2018	M	15,000	<u>(6)</u>	06/15/2021	Common Stock	15,000
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SUWINSKI JAN 3520 KRAFT ROAD SUITE 300 NAPLES, FL 34105	X			

Signatures

By: Dennis Byrnes, Attorney in Fact For: Jan H. Suwinski 06/01/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale price ranged from \$24.16 to \$24.22, with a weighted average sale price of \$24.194242. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) The sale price ranged from \$24.30 to \$24.32, with a weighted average sale price of \$24.300952. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) The sale price ranged from \$24.40 to \$24.53, with a weighted average sale price of \$24.493058. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) The sale price ranged from \$24.50 to \$24.64, with a weighted average sale price of \$24.555629. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (5) The sale price ranged from \$24.585 to \$24.70, with a weighted average sale price of \$24.645747. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (6) The options were granted pursuant to the ACI Worldwide, Inc. 2005 Equity and Performance Incentive Plan.

Remarks:

Mr. Suwinski is not standing for re-election to the ACI Worldwide, Inc. Board of Directors. The options reported herein would

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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