Edgar Filing: CARROLL KENNETH G - Form 4

CARROLL KENNETH G

Form 4

January 03, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * CARROLL KENNETH G			2. Issuer Name and Ticker or Trading Symbol EchoStar CORP [SATS]	5. Relationship of Reporting Person(s) to Issuer			
			• •	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	Director 10% Owner			
100 INVERNESS TERRACE EAST		CE EAST	12/31/2012	X Officer (give title Other (specify below) EVP of Corp & Bus Development			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
ENGLEWOOD	D, CO 8011	2	Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

ENGLEWOOD, CO 80112	

(City)	(State)	(Zip) Tabl	e I - Non-D	Derivative	Secur	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Secur on(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	12/31/2012		M	4,000	A	(1)	4,000	D	
Class A Common Stock	01/02/2013		S(2)	4,000	D	\$ 34.77 (3)	0	D	
Class A Common Stock							283	I	By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: CARROLL KENNETH G - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

6 Date Exercisable and

5 Number

SEC 1474 (9-02)

7 Title and Amount of

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(1)</u>	12/31/2012		M	4,000	12/31/2012	12/31/2012	Class A Common Stock	4,000

Reporting Owners

Reporting Owner Name / Address Relationships

3 Transaction Date 3A Deemed

Director 10% Owner Officer Other

CARROLL KENNETH G 100 INVERNESS TERRACE EAST ENGLEWOOD, CO 80112

EVP of Corp & Bus Development

Signatures

1 Title of

/s/ Katherine M. Hanna, his Attorney-in-Fact

01/03/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive one share of Class A Common Stock. On December 31, 2012, 4,000 of the reporting person's restricted stock units vested and were settled for an equal number of shares of Class A Common Stock.
- (2) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

The price reported is the weighted average price. The shares reported in this transaction were sold at prices ranging from \$34.49 to \$34.92, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the indicated range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: CARROLL KENNETH G - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.