Sivaram Srinivasan Form 4 February 21, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 3235-0287

**OMB APPROVAL** 

Number: January 31, Expires:

2005 Estimated average

> 10% Owner Other (specify

0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sivaram Srinivasan

2. Issuer Name and Ticker or Trading Symbol

WESTERN DIGITAL CORP

[WDC]

3. Date of Earliest Transaction

(Month/Day/Year) 02/16/2018

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

EVP, Memory Technology

C/O WESTERN DIGITAL CORPORATION, 5601 GREAT OAKS PARKWAY

(First)

(Street)

(Middle)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_\_ Officer (give title

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN JOSE, CA 95119

(City)	(State)	(Zip) Tab	le I - Non-	Derivative Sec	curities Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities A corr Disposed of (Instr. 3, 4 and (A) or Amount (D)	of (D) d 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/16/2018		M	506 (1) A	\$ 0	103,251.498	D	
Common Stock	02/16/2018		F	3,703 D	\$ 84.91	99,548.498	D	
Common Stock	02/17/2018		M	253 (3) A	\$ 0	99,801.498	D	
Common Stock	02/17/2018		F	2,447 D	\$ 84.91	97,354.498	D	
	02/18/2018		M	101 (4) A	\$0	97,455.498	D	

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Common Stock							
Common Stock	02/18/2018	F	1,042 (2)	D	\$ 84.91	96,413.498	D
Common Stock	02/21/2018	S <u>(5)</u>	8,765	D	\$ 86.6319 <u>(6)</u>	87,648.498	D
Common Stock	02/21/2018	S(5)	2,100	D	\$ 87.0381 <u>(7)</u>	85,548.498	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Dividend Equivalent Rights	<u>(1)</u>	02/16/2018		M	506.2123	<u>(1)</u>	<u>(1)</u>	Common Stock	506.212
Dividend Equivalent Rights	<u>(3)</u>	02/17/2018		M	253.1062	(3)	(3)	Common Stock	253.106
Dividend Equivalent Rights	<u>(4)</u>	02/18/2018		M	101.2325	<u>(4)</u>	<u>(4)</u>	Common Stock	101.232

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Sivaram Srinivasan C/O WESTERN DIGITAL CORPORATION 5601 GREAT OAKS PARKWAY

2 Reporting Owners

SAN JOSE, CA 95119

### **Signatures**

By: /s/ Sandra Garcia Attorney-in-Fact For: Srinivasan
Sivaram
02/21/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The dividend equivalents were converted into, and paid in the form of, shares of the Issuer's common stock on a one-for-one basis in connection with the vesting of restricted stock units to which the dividend equivalents relate. A cash amount equal to \$18.03 was also paid to the holder to settle a fractional dividend equivalent of 0.2123.
- (2) Payment of tax obligation by withholding securities incident to the vesting of securities in accordance with Rule 16b-3(e).
- The dividend equivalents were converted into, and paid in the form of, shares of the Issuer's common stock on a one-for-one basis in connection with the vesting of restricted stock units to which the dividend equivalents relate. A cash amount equal to \$9.02 was also paid to the holder to settle a fractional dividend equivalent of 0.1062.
- The dividend equivalents were converted into, and paid in the form of, shares of the Issuer's common stock on a one-for-one basis in connection with the vesting of restricted stock units to which the dividend equivalents relate. A cash amount equal to \$19.74 was also paid to the holder to settle a fractional dividend equivalent of 0.2325.
- (5) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 2, 2017.
- Represents the weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from a low of (6) \$85.99 to a high of \$86.98. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.
- Represents the weighted average sale price per share. These shares were sold in multiple transactions at prices ranging from a low of (7) \$86.99 to a high of \$87.12. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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