

TANG ANTHONY M  
Form 5  
February 13, 2007

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
TANG ANTHONY M

2. Issuer Name and Ticker or Trading Symbol  
CATHAY GENERAL BANCORP [CATY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2006

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Senior EVP

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

LOS ANGELES, CA 90012

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock							350,584	D	
Common Stock	12/31/2006		J <sup>(1)</sup>	1,016	A	\$ 34.8472	83,457	I	By ESOP
Common Stock							80,322	I	As custodian for children

Edgar Filing: TANG ANTHONY M - Form 5

Common Stock	01/24/2006	Â	J <sup>(2)</sup>	635.099	A	\$ 34.758	247,819.74	I	By spouse
Common Stock	04/25/2006	Â	J <sup>(2)</sup>	611.474	A	\$ 36.1944	247,819.74	I	By spouse
Common Stock	07/25/2006	Â	J <sup>(2)</sup>	642.648	A	\$ 34.5242	247,819.74	I	By spouse
Common Stock	10/24/2006	Â	J <sup>(2)</sup>	655.947	A	\$ 33.9124	247,819.74	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title Amount of Underlying Securities
Stock Option	\$ 15.0475	Â	Â	Â	Â Â	01/18/2002 <sup>(3)</sup> 01/18/2011	Common Stock 26,360
Stock Option	\$ 16.275	Â	Â	Â	Â Â	02/21/2003 <sup>(4)</sup> 02/21/2012	Common Stock 26,000
Stock Option	\$ 19.925	Â	Â	Â	Â Â	01/16/2004 <sup>(5)</sup> 01/16/2013	Common Stock 47,500
Stock Option	\$ 24.8	Â	Â	Â	Â Â	11/20/2004 <sup>(6)</sup> 11/20/2013	Common Stock 105,140
Stock Option	\$ 37	Â	Â	Â	Â Â	02/17/2006 <sup>(7)</sup> 02/17/2015	Common Stock 39,820
Stock Option	\$ 36.24	Â	Â	Â	Â Â	01/25/2007 <sup>(8)</sup> 01/25/2016	Common Stock 42,690

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TANG ANTHONY M  
777 NORTH BROADWAY  
LOS ANGELES, CA 90012

X      Senior  
EVP

## Signatures

Anthony M.      02/13/2007  
Tang

\*\*Signature of      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) ESOP Earning Allocation.
- (2) Dividend Reinvestment.
- (3) The option is fully exercisable.
- (4) The option vests in 5 equal annual installments beginning 2/21/03.
- (5) The option vests in 5 equal annual installments beginning 1/16/04.
- (6) The option vests in 5 equal annual installments beginning 11/20/04.
- (7) The option vests in 5 equal annual installments beginning 2/17/06.
- (8) The option vests in 5 equal annual installments beginning 1/25/07.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.