#### CHENG DUNSON K

Form 5

February 03, 2005

## FORM 5

#### **OMB APPROVAL**

**OMB** 

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: 3235-0362 Expires: January 31, 2005

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response... 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

Form 4

30(h) of the Investment Company Act of 1940

Transactions Reported

1. Name and Address of Reporting Person * CHENG DUNSON K			2. Issuer Name <b>and</b> Ticker or Trading Symbol CATHAY GENERAL BANCORP [CATY]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 777 NORTH	(First)  BROADW A	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2004	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below)  Chairman, President & CEO		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Reporting (check applicable line)		

### LOS ANGELES, CAÂ 90012

\_X\_Form Filed by One Reporting Person \_\_Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	04/20/2004	Â	J <u>(1)</u>	187.294 (2)	A	\$ 31.72 (2)	300,597.413 (2)	D	Â	
Common Stock	07/20/2004	Â	<u>J(1)</u>	192.49 (2)	A	\$ 30.933 (2)	300,597.413 (2)	D	Â	
Common Stock	10/22/2004	Â	<u>J(1)</u>	205.225	A	\$ 35.852	300,597.413 (2)	D	Â	
Common Stock	12/31/2004	Â	<u>J(3)</u>	914 (2)	A	\$ 31.21 (2)	93,866 (2)	I	By ESOP	

### Edgar Filing: CHENG DUNSON K - Form 5

Common Stock Â	Â	Â	Â	Â	Â	239,308 <u>(2)</u> I	Husband & Wife Trust
Reminder: Report on a sepa securities beneficially owner	Perso contai the fo	SEC 2270 (9-02)					

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Option	\$ 8.25 (2)	Â	Â	Â	Â	Â	09/17/1999(4)	09/17/2008	Common Stock	70,000 (2)
Stock Option	\$ 10.625 (2)	Â	Â	Â	Â	Â	01/20/2001(5)	01/20/2010	Common Stock	73,320 (2)
Stock Option	\$ 15.0475 (2)	Â	Â	Â	Â	Â	01/18/2002(6)	01/18/2011	Common Stock	74,160 (2)
Stock Option	\$ 16.275 (2)	Â	Â	Â	Â	Â	02/21/2003(7)	02/21/2012	Common Stock	79,320 (2)
Stock Option	\$ 19.925 (2)	Â	Â	Â	Â	Â	01/16/2004(8)	01/16/2013	Common Stock	153,060 (2)
Stock Option	\$ 24.8 (2)	Â	Â	Â	Â	Â	11/20/2004(9)	11/20/2013	Common Stock	638,670 (2)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships								
· · · · · · · · · · · · · · · · · · ·	Director	10% Owner	Officer	Other					
CHENG DUNSON K	^	•	Chairman,	•					
777 NORTH BROADWAY	ÂΧ	Ã	President &	Ä					
LOS ANGELES, CA 90012			CEO						

Reporting Owners 2

## **Signatures**

Dunson K. 02/03/2005

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend Reinvestment.
- (2) Adjusted to reflect a 2-for-1 stock split effective 9/28/04.
- (3) ESOP Earning Allocation.
- (4) The option is fully exercisable.
- (5) The option vests in 5 equal annual installments beginning 1/20/01.
- (6) The option vests in 5 equal annual installments beginning 1/18/02.
- (7) The option vests in 5 equal annual installments beginning 2/21/03.
- (8) The option vests in 5 equal annual installments beginning 1/16/04.
- (9) The option vests in 5 equal annual installments beginning 11/20/04.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3