#### **UFP TECHNOLOGIES INC**

Form 4 May 11, 2016

## FORM 4

#### **OMB APPROVAL OMB**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Instr. 3)

Common

Par Value

Stock, \$.01 05/09/2016

(Print or Type Responses)

1. Name and Address of Reporting Person ** ROCK MITCHELL			2. Issue Symbol	r Name <b>and</b> Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
			UFP TE	ECHNOLOGIES INC [UFPT]	(Chec	k all applicable	)		
(Last)	(First)	(Middle)	3. Date of	f Earliest Transaction					
			(Month/I	Day/Year)	Director	10%	Owner		
	TECHNOLOC HALE STREI	· ·	05/09/2	2016	_X_ Officer (give below)	below)	er (specify		
inco, roo in industrial					Vice President				
(Street)			4. If Ame	endment, Date Original	6. Individual or Joint/Group Filing(Check				
			Filed(Mor	nth/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person				
NEWBUR	YPORT, MA	01950			Form filed by N Person	More than One Re	porting		
(City)	(State)	(Zip)	Tabl	le I - Non-Derivative Securities Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of	2. Transaction	Date 2A. De	emed	3. 4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/	Year) Execut	ion Date, if	Transaction(A) or Disposed of (D)	Securities	Form: Direct	Indirect		

(Instr. 3, 4 and 5)

(A)

D

Price

24.85

\$

(1)

Code

S

(Instr. 8)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

any

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Beneficially

Following

Reported

33,269

Transaction(s) (Instr. 3 and 4)

Owned

(D) or

Indirect (I)

(Instr. 4)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Code V Amount (D)

7.103

Beneficial

Ownership

(Instr. 4)

### Edgar Filing: UFP TECHNOLOGIES INC - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title a	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amount	of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	Year)	Underlyi	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3	and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								٨	mount		
								01			
						Date	Expiration		umber		
						Exercisable	Date	of			
				Code V	(A) (D)				hares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

**ROCK MITCHELL** C/O UFP TECHNOLOGIES, INC. 100 HALE STREET NEWBURYPORT, MA 01950

Vice President

### **Signatures**

Patrick J. Kinney, Jr. as attorney-in-fact for Mitchell Caplan Rock

05/11/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$24.61 to \$24.97, inclusive. The reporting person undertakes to provide to UFP Technologies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within such range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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