#### CRANDALL THEODORE D

Form 4

February 08, 2006

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005
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**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * CRANDALL THEODORE D			2. Issuer Name <b>and</b> Ticker or Trading Symbol ROCKWELL AUTOMATION INC [ROK]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) 777 EAST WI AVENUE, SU		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 02/07/2006	Director 10% Owner Officer (give title Other (specify below) Senior Vice President		
MILWAUKE	(Street) E, WI 53202	2	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			Beneficially For Owned Dir	Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock	02/07/2006		M	4,902	A	\$ 20.349	26,337.6663 (1)	D		
Common Stock	02/07/2006		M	3,812	A	\$ 11.6038	30,149.6663 (1)	D		
Common Stock	02/07/2006		M	13,088	A	\$ 13.4	43,237.6663 (1)	D		
Common Stock	02/07/2006		S	13,088	D	\$ 67.45	30,149.6663 (1)	D		
Common							2,586.728	I	Ву	

Savings

Plan (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Nun Sha
Employee Stock Option (right to buy)	\$ 20.349	02/07/2006		M	4,902	10/04/2000	10/04/2009	Common Stock	4,
Employee Stock Option (right to buy)	\$ 11.6038	02/07/2006		M	3,812	10/02/2001	10/02/2010	Common Stock	3,
Employee Stock Option (right to buy)	\$ 13.4	02/07/2006		M	13,088	10/01/2002	10/01/2011	Common Stock	13
Employee Stock Option (right to buy)	\$ 15.5					10/07/2003	10/07/2012	Common Stock	42
Employee Stock Option (right to buy)	\$ 27.75					10/06/2004(3)	10/06/2013	Common Stock	55
Employee Stock	\$ 43.9					11/08/2005(3)	11/08/2014	Common Stock	70

### Edgar Filing: CRANDALL THEODORE D - Form 4

Option (right to buy)

Employee

Stock

Option \$ 56.36

 $11/07/2006\underline{^{(3)}}$  11/07/2015

Common Stock

36

(right to buy)

Common

Stock Share (4)
Equivalents

(5)

(5) Common Stock

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

CRANDALL THEODORE D 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202

Senior Vice President

**Signatures** 

K. A. Balistreri, Attorney-in-Fact for Theodore D. Crandall

02/08/2006

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2,800 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (2) Includes shares represented by Company stock fund units acquired under the Company's Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 1/25/2006.
- (3) The option vests in three substantially equal annual installments beginning on the date exercisable.
  - Includes share equivalents represented by Company stock fund units acquired under the Company's Nonqualified Savings Plan since the date of the reporting person's last ownership report, based on information furnished by the Plan Administrator as of 1/25/2006. The
- (4) number of share equivalents represented by the balance of a participant's Company stock fund account may not exactly equal the number of share equivalents represented by a prior balance plus additions due to variances in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the Plan.
- (5) The share equivalents are payable in cash upon retirement or after termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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