ASTA FUNDING INC

Form 4

February 03, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Washington, D.C. 20549

SECURITIES

3235-0287 Number:

OMB APPROVAL

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

0.5

Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response...

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *	
Mangrove Partners Master Fund, Ltd.	Ç.

2. Issuer Name and Ticker or Trading

Issuer

ymbol

ASTA FUNDING INC [ASFI]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

PO BOX 309, UGLAND HOUSE, S. 02/02/2016

Director 10% Owner Other (specify Officer (give title below)

CHURCH ST..

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person X Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

GEORGE TOWN, E9 KY1-1104

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities Acquired (A) 5. Amount of Execution Date, if Transaction Disposed of (D)

Securities Beneficially Owned

7. Nature of 6. Ownership Form: Direct (D) or Indirect

Indirect Beneficial Ownership (Instr. 4)

(Month/Day/Year)

Code (Instr. 3, 4 and 5) (Instr. 8)

> Reported (A) Transaction(s) or (D) Price

(I) (Instr. 4)

(Instr. 3 and 4)

Following

Common Stock

Security

(Instr. 3)

02/02/2016

Code V Amount P

12,100

7.3092

1,503,645

 $D_{(1)}^{(1)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Titl Deriv Secur (Instr.	ative ity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tiorNumber of) Derivativ Securities Acquired (A) or Disposed of (D)	s I	ate	Amou Under Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
					Code \	(Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Mangrove Partners Master Fund, Ltd. PO BOX 309, UGLAND HOUSE, S. CHURCH ST. GEORGE TOWN, E9 KY1-1104

Mangrove Partners Fund (Cayman), Ltd. MAPLES CORP. SVCS, PO BOX 309 UGLAND HOUSE, S. CHURCH STREET GEORGE TOWN, E9 KY1-1104

Mangrove Partners Fund, L.P. 645 MADISON AVENUE, 14TH FLOOR NEW YORK, NY 10022

MANGROVE CAPITAL 645 MADISON AVENUE, 14TH FLOOR NEW YORK, NY 10022

AUGUST NATHANIEL H. 645 MADISON AVENUE, 14TH FLOOR NEW YORK, NY 10022

MANGROVE PARTNERS 645 MADISON AVENUE, 14TH FLOOR NEW YORK, NY 10022

Signatures

/s/ Nathaniel H. August, as Director of The Mangrove Partners Master Fund, Ltd.	02/03/2016
**Signature of Reporting Person	Date
/s/ Nathaniel H. August, as Director of Mangrove Partners, the Investment Manager of the Reporting Person	02/03/2016
**Signature of Reporting Person	Date

Reporting Owners 2

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/s/ Nathaniel H. August, as Director of Mangrove Capital, the General Partner of the Reporting Person				
a -	**Signature of Reporting Person	Date		
/s/ Nathaniel H. August as director of Mangrove Capital				
a -	**Signature of Reporting Person	Date		
/s/ Nathaniel H. August		02/03/2016		
a -	**Signature of Reporting Person	Date		
/s/ Nathaniel H. August as director of Mangrove Partners				
4	**Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Form is being jointly filed by (1) The Mangrove Partners Master Fund, Ltd. (the "Master Fund"), (2) The Mangrove Partners Fund, L.P. (the "US Feeder"), (3) The Mangrove Partners Fund (Cayman), Ltd. (the "Cayman Feeder"), (4) Mangrove Partners, (5) Mangrove Capital and (6) Nathaniel August. Each of the foregoing is referred to as a "Reporting Person" and collectively as the "Reporting Persons."
 - The shares which are the subject of this Form are held by the Master Fund. Beneficial ownership of the shares which is the subject of this Form is also claimed indirectly by (i) the US Feeder and the Cayman Feeder, which are the two controlling shareholders of the Master
- (2) Fund, (ii) Mangrove Partners which serves as the investment manager of each of the Master Fund, the US Feeder and the Cayman Feeder, (iii) Mangrove Capital which serves as the general partner of the US Feeder, and (iv) Nathaniel August who is the principal of Mangrove Partners and Mangrove Capital.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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