# Edgar Filing: FAIR ISAAC CORP - Form 4

| FAIR ISAAC                                              | CORP                                                                          |            |                                                                                       |                            |              |                                                                          |                                                   |                                                                                                  |                    |           |  |
|---------------------------------------------------------|-------------------------------------------------------------------------------|------------|---------------------------------------------------------------------------------------|----------------------------|--------------|--------------------------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------------------------------------------------|--------------------|-----------|--|
| December 09                                             | 9, 2015                                                                       |            |                                                                                       |                            |              |                                                                          |                                                   |                                                                                                  |                    |           |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION |                                                                               |            |                                                                                       |                            |              |                                                                          | OMB APPROVAL                                      |                                                                                                  |                    |           |  |
|                                                         |                                                                               | TAILS      |                                                                                       | hington,                   |              |                                                                          |                                                   |                                                                                                  | OMB<br>Number:     | 3235-0287 |  |
| Check thi<br>if no long                                 |                                                                               |            |                                                                                       |                            |              |                                                                          | Expires:                                          | January 31<br>2005                                                                               |                    |           |  |
| subject to<br>Section 1<br>Form 4 or<br>Form 5          | F CHANGES IN BENEFICIAL OWN<br>SECURITIES                                     |            |                                                                                       |                            |              |                                                                          | Estimated average<br>burden hours per<br>response |                                                                                                  |                    |           |  |
| obligation<br>may cont<br><i>See</i> Instru<br>1(b).    | ns Section 17(a                                                               | ) of the H | Public Ut                                                                             |                            | ling Con     | npany                                                                    | Act of                                            | e Act of 1934,<br>1935 or Section<br>0                                                           | 1                  |           |  |
| (Print or Type F                                        | Responses)                                                                    |            |                                                                                       |                            |              |                                                                          |                                                   |                                                                                                  |                    |           |  |
|                                                         |                                                                               |            | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol                                 |                            |              |                                                                          |                                                   | 5. Relationship of Reporting Person(s) to Issuer                                                 |                    |           |  |
| (1 +)                                                   | (E:t) (M                                                                      |            |                                                                                       |                            |              |                                                                          |                                                   | (Checl                                                                                           | ck all applicable) |           |  |
| (Last) (First) (Middle)<br>181 METRO DRIVE              |                                                                               |            | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>12/08/2015                     |                            |              |                                                                          |                                                   | Director 10% Owner   Officer (give title Other (specify below)   below) Executive Vice President |                    |           |  |
| SAN JOSE,                                               | (Street)<br>CA 95110                                                          |            |                                                                                       | ndment, Da<br>th/Day/Year) | -            | I                                                                        |                                                   | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by C<br>Form filed by M<br>Person      | one Reporting Pe   | rson      |  |
| (City)                                                  | (State) (                                                                     | Zip)       | Tabl                                                                                  | e I - Non-D                | erivative    | Secur                                                                    | ities Acq                                         | uired, Disposed of                                                                               | , or Beneficial    | ly Owned  |  |
| 1.Title of<br>Security<br>(Instr. 3)                    | 2. Transaction Date 2A. Deen<br>(Month/Day/Year) Execution<br>any<br>(Month/D |            | n Date, if Transaction(A) or Dispose<br>Code (Instr. 3, 4 and<br>Day/Year) (Instr. 8) |                            |              | d of (D) Securities<br>5) Beneficially<br>Owned<br>Following<br>Reported |                                                   | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)                             |                    |           |  |
|                                                         |                                                                               |            |                                                                                       | Code V                     | Amount       | (A)<br>or<br>(D)                                                         | Price                                             | Transaction(s)<br>(Instr. 3 and 4)                                                               |                    |           |  |
| Common<br>Stock                                         | 12/08/2015                                                                    |            |                                                                                       | М                          | 5,784        | А                                                                        | \$ 0                                              | 38,610                                                                                           | D                  |           |  |
| Common<br>Stock                                         | 12/08/2015                                                                    |            |                                                                                       | М                          | 2,666        | А                                                                        | \$0                                               | 41,276                                                                                           | D                  |           |  |
| Common<br>Stock                                         | 12/08/2015                                                                    |            |                                                                                       | F                          | 3,965<br>(1) | D                                                                        | \$<br>91.91                                       | 37,311                                                                                           | D                  |           |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

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### number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. Numb<br>orDerivati<br>Securitie<br>Acquired<br>Disposed<br>(Instr. 3,<br>5) | ve<br>es<br>d (A) or<br>d of (D) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amou<br>Underlying Secur<br>(Instr. 3 and 4) |                              |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|---------------------------------------|--------------------------------------------------------------------------------|----------------------------------|----------------------------------------------------------------|--------------------|-----------------------------------------------------------|------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code V                                | (A)                                                                            | (D)                              | Date Exercisable                                               | Expiration<br>Date | Title                                                     | Am<br>or<br>Nur<br>of<br>Sha |
| Market Share<br>Units                               | <u>(2)</u>                                                            | 12/08/2015                              |                                                             | А                                     | 6,498<br>(3)                                                                   |                                  | 12/13/2015                                                     | (4)                | Common<br>Stock                                           | 6,4                          |
| Market Share<br>Units                               | (2)                                                                   | 12/08/2015                              |                                                             | А                                     | 5,784<br>(5)                                                                   |                                  | 12/08/2015                                                     | (4)                | Common<br>Stock                                           | 5,                           |
| Market Share<br>Units                               | <u>(2)</u>                                                            | 12/08/2015                              |                                                             | М                                     |                                                                                | 5,784                            | 12/08/2015                                                     | (4)                | Common<br>Stock                                           | 5,                           |
| Performance<br>Share Units                          | <u>(6)</u>                                                            | 12/08/2015                              |                                                             | М                                     |                                                                                | 2,666                            | 12/08/2015 <u>(7)</u>                                          | (4)                | Common<br>Stock                                           | 2,                           |
| Restricted<br>Stock Units                           | <u>(8)</u>                                                            | 12/08/2015                              |                                                             | А                                     | 8,604                                                                          |                                  | 12/08/2016 <u>(9)</u>                                          | (4)                | Common<br>Stock                                           | 8,                           |

# **Reporting Owners**

| Reporting Owner Name / Address                           |          |           | Relationships            | nships |  |  |
|----------------------------------------------------------|----------|-----------|--------------------------|--------|--|--|
| I. O. T.             | Director | 10% Owner | Officer                  | Other  |  |  |
| Wehmann James M<br>181 METRO DRIVE<br>SAN JOSE, CA 95110 |          |           | Executive Vice President |        |  |  |
| Signatures                                               |          |           |                          |        |  |  |

/s/Nancy E. Fraser, 12/09/2015 Attorney-in-fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). \*

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld by Company for payment of taxes due at vesting from earned performance share units and earned market share units.

(2) Each earned market share unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.

(3)

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As previously reported in a prior Form 4 footnote, on December 13, 2013, the reporting person was granted a target award of 9,747 market share units. The award vests in three equal annual installments from the grant date based on the Company's satisfaction of certain performance criteria for each of the performance periods ending November 30, 2014, 2015 and 2016. The performance criteria for 2014 were met and reported, resulting in an award of 2,989 market share units which vested on December 13, 2014. The performance criteria for 2015 were met, resulting in the award of 6498 market share units which will vest on December 13, 2015.

(4) No expiration date.

On December 8, 2014, the reporting person was granted a target award of 8,676 market share units. The award is scheduled to vest in three equal annual installments from the grant date based on the Company's satisfaction of certain performance criteria for each of the

- (5) Infect equal annual instantions from the grant date based on the company's statistication of certain performance enterna for each of the performance periods ending November 30, 2015, 2016 and 2017. The performance criteria for 2015 were met, resulting in the award of 5,784 market share units which vest immediately.
- (6) Each earned performance share unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.
- (7) The performance share units vest in three equal annual installments commencing on this date and one share will be delivered to the reporting person for each vested unit as soon as practicable thereafter.
- (8) Each restricted stock unit represents a right to receive one share of Fair Isaac common stock contingent upon continued employment.
- (9) The restricted stock units vest in four equal annual installments commencing on this date and vested shares will be delivered to the reporting person as soon as practicable thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.