Edgar Filing: LOYACK JOHN R - Form 4

LOYACK JOHN R Form 4 March 13, 2003

## FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### **OMB APPROVAL**

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> Filed By Romeo and Dye's Section 16 Filer www.section16.net

					me <b>and</b> Tic		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
				of Reporting Person,				Statement for onth/Day/Year /13/03	Di 10% <u><b>X</b></u> Of	Director 10% Owner Other (specify below)			
									AND		PRESIDENT INANCIAL		
(Street) ALBUQUERQUE, NM 87158							Da	If Amendment, te of Original (onth/Day/Year)	(Che <u>X</u> Fo Perso	7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One			
									Repo	Reporting Person			
(City) (State) (Zip)				Table I Non-Derivative Securities Acqui						ed, Disposed of, or Beneficially Owned			
1. Title of	2. Trans-	2A. Deemed	3. Trans	-	4. Securitie	es Acqu	iired	5. Amount of	6. 0	Owner-	7. Nature of		
Security	action	Execution	action Code (A) or Disposed of (I		f (D)	) Securities		p Form:	Indirect				
(Instr. 3)	Date	Date,	(Instr. 8	Instr. 8) (Instr. 3, 4 & 5)		& 5)		Beneficially		(- )	Beneficial		
(	(Month/ Day/	if any	Code	V	Amount	(A)	Price	Owned Follow-	or l	Indirect (I)	Ownership		
,	Year)	(Month/Day/				or		ing Reported	(In:	str. 4)	(Instr. 4)		
		Year)				(D)		Transactions(s)					
								(Instr. 3 & 4)					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

#### **FORM 4 (continued)** Table II - Derivative Securities Acquired, Disposed of, or Beneficially **Owned**

(e.g. nuts calls warrants ontions convertible securities)

(c.g., puts, cans, warrants, options, convertible securities)												
1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and Amount	8. Price of	9. Number of	10.	11. Natur	
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	of Underlying	Derivative	Derivative	Owner-	of Indirec	
Security	Exercise	Date	Execution	action	of	Date	Securities	Security	Securities	ship	Beneficia	
	Price of		Date,	Code	Derivati	(Medonth/Day/	(Instr. 3 & 4)	(Instr. 5)	Beneficially	Form	Ownershi	
(Instr. 3)	Derivative	(Month/	if any		Securiti	<b>X</b> ear)			Owned	of Deriv-	(Instr. 4)	
	Security	Day/	(Month/	(Instr.	Acquire	d			Following	ative		
		Year)	Day/	8)	(A) or				Reported	Security:		
			Year)		Dispose	d			Transaction(s)	Direct		
								1				

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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					(In	(D) astr. 4 &						(D) or Indirect (I) (Instr. 4)	
				Code	V (A	(D)	Exer-cisable	Expira- tion Date		Amount or Number of Shares			
PHANTOM STOCK UNITS	1 FOR 1	03/12/03	03/13/03	A	4	2	(1)	_	COMMON STOCK	42	770	I	(1)

Explanation of Responses:

(1) THE PHANTOM STOCK UNITS WERE ACQUIRED UNDER PNM RESOURCES, INC., EXECUTIVE SAVINGS PLAN AND WILL BE SETTLED UPON THE REPORTING PERSON'S RETIREMENT OR OTHER TERMINATION OF SERVICE.

By: /s/ JOHN R. LOYACK

03/13/03

Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).