Edgar Filing: PORTER JAMES S - Form 4/A

PORTER JA	MES S										
Form 4/A	10										
April 15, 20										PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check th if no lon; subject to Section 1 Form 4 c Form 5 obligatio	ger 5 16. 5 5 5 Filed pur									Expires:January 31 2005Estimated average burden hours per response0.5	
may con <i>See</i> Instr 1(b).	tinue. Section 17(ivestment	•	-	•		l		
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> PORTER JAMES S								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 4400 WEST 78TH STREET, SUITE 520								Director 10% Owner X Officer (give title Other (specify below) below) Chief Financial Officer			
File 12/			Filed(Mo	Filed(Month/Day/Year)				 Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
MINNEAPOLIS, MN 55435			Ī					Person			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secu	rities Acq	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	omr Dispos (Instr. 3,	ed of 4 and (A) or	5)) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/24/2018			Code V P	Amount 2,000	(D) A	Price \$ 28.1027 (1)	115 555 (2)	D		
Common Stock								1,651 <u>(4)</u>	Ι	401(k) Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	Date	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
PORTER JAMES S 4400 WEST 78TH STREET SUITE 520 MINNEAPOLIS, MN 55435			Chief Financial Officer				
Signatures							
lal Jamas S							

/s/ James S. 04/15/2019 Porter

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported is the weighted average purchase price for the transactions reported. The prices paid ranged from \$28.035 to \$28.110. (1) The Reporting Person will provide to the issuer, a security holder of the issuer, or the SEC staff, upon request, full information regarding the number of shares purchased at each price within the range.
- Includes shares acquired under the Employee Stock Purchase Plan as of 9/30/18 and shares of restricted stock granted under the 2009 (2) Stock Incentive Plan.
- (3) Correction of mathematical error. The remainder of the Form contains no changes and has been stated as originally filed.

Represents the approximate number of shares of common stock for which the Reporting Person has the right to direct the vote under the Apogee 401(k) Retirement Plan per the Trustee's 9/30/18 statement. Shares of common stock are not directly allocated to the Plan

(4) participants, but are instead held in a unitized fund consisting primarily of common stock and a small percentage of short-term investments. participants acquire units in this fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.